

Entry Criteria for 2020 Liquor License Lottery
Entry to Apply for a Series 6, 7 and 9 Liquor Licenses

- I. **Entry Forms & Fees:** Entry forms, along with a \$100 non-refundable entry fee (\$100 per entry), will be accepted by the Department of Liquor beginning 8:00 a.m. Monday, September 14, 2020, through 5:00 p.m. Friday, October 2, 2020. Entries or entry fees submitted after 5:00 p.m. Friday, October 2, 2020, will NOT be accepted. All entry forms and fees must be received, accepted and date stamped by the Department prior to 5:00 p.m. on Friday, October 2, 2020, or the entry will be disqualified.
- II. **Entry Forms:**
- a. Are completed online, <http://www.azliquor.gov/lottery.cfm>. The entry fee, along with the invoice(s), must be submitted by mail or in person at:
Arizona Department of Liquor (Industrial Commission of Arizona Building)
Licensing Division
800 W. Washington, 5th Floor
Phoenix, Arizona 85007
Hours: M - F 8:00 a.m. – 5:00 p.m.
 - b. No person shall submit more applications than the number of licenses available for each series in a county, except for attorneys/agents that have no ownership interests that are submitting entries on behalf of individuals/companies, may enter as many times as there are licenses of that particular series for each individual/company that they are representing. For the purposes of this section, a partnership, limited liability company, association, company, or corporation shall be considered the same person if owned, managed, operated, or controlled by the same controlling person, as defined in A.R.S. § 4-101. Please review [A.R.S. §§ 4-206.01\(F\) and §4-101 \(27\)](#).
 - c. Any entity(ies) determined to be in violation of A.R.S. § 4-206.01(F) shall be immediately disqualified and any entry fees paid will be forfeited.
 - d. Non-refundable entry fees are accepted in cash, check, money order, or cashier's check. Please make checks payable to the Arizona Department of Liquor. **Credit cards are not accepted for Lottery entry fees or payments.**

Reasons Entry Forms are Rejected/Returned:

- a. incomplete
- b. not signed
- c. not received prior to 5:00 p.m. Friday, October 2, 2020
- d. \$100 non-refundable entry fee was not included, or was received after 5:00 p.m. Friday, October 2, 2020
- e. \$100 non-refundable entry fee check was returned for insufficient funds

- III. **Lottery Licenses & Fair Market Values:** Licenses offered in this lottery are listed on the Department of Liquor website (<http://www.azliquor.gov/lottery.cfm>).

- IV. **Random Selection Drawing.** If the number of entrants exceeds the number of licenses available in a county, a Random Selection Drawing will be conducted on Thursday, October 22, 2020, at 10:00 a.m. via Google Meet, (all entrants will be sent a meeting link prior to the drawing).

The random selection process will be overseen by an independent accounting firm that will:

- a. During the Drawing, applicants and alternates will be drawn for certain license series in certain counties.
- b. Alternates will be eligible to apply for the license in the event a successful applicant elects to withdraw, forfeit, or is disqualified. A list of alternate applicants will be maintained until new licenses have been issued or when all alternates have been contacted by the Department.

- c. Applicants and alternates named in the random selection drawing will be posted within 24 hours of the Drawing on the Arizona Department of Liquor Licenses and Control website (<http://www.azliquor.gov/lottery.cfm>).

V. Applicants Selected in the Drawing: Upon completion of the Random Selection Drawing, selected applicants will be notified via email at the email address provided on the entry form. Selected applicants will have two (2) weeks to accept or withdraw from the offered license, or they will automatically be withdrawn. Upon receipt of a completed application, questionnaire, fingerprint card, and fees the Dept. of Liquor will begin the application process. In addition to the state forms and fees, the local government may have their own application and fees.

Alternate Applicants: Upon disqualification, withdrawal or forfeiture of an applicant selected in the Drawing, the option to apply for the license immediately goes to the alternate. The alternate will be notified via the email address provided on the lottery entry form. Alternates will have two (2) weeks to accept or withdraw from the offered license, or they will automatically be withdrawn. This process will be repeated until an alternate is found who wishes to apply, or when all alternates have been contacted by the Department.

VI. Disqualification, Withdrawal and Forfeiture:

Disqualification: Applicants will be required to meet the qualifications of licensure before obtaining the license (A.R.S. §4-202 and §4-203). When an applicant doesn't meet the qualifications, they are disqualified. Fees identified as "non-refundable" will not be returned.

Withdrawal: An applicant may withdraw at any time. Without exception, fees identified as "non-refundable" will not be returned.

Forfeiture: There are several ways to forfeit a lottery license. Here are some examples:

1. Without exception, when an entrant or applicant fails to pay fees in the manner and by the due dates described on this form, they forfeit the opportunity to apply, and any previously paid fees identified as "non-refundable" will not be returned.
2. Without exception, when an entrant fails to establish a physical location, and apply with the Department for the licensed business by Monday, October 23, 2023, they forfeit the opportunity to apply. Without exception, fees identified as "non-refundable" will not be returned.

VII. Fees:

Non-refundable Fifty Percent (50%) Deposit: The non-refundable deposit of fifty percent (50%) of the fair market value of the license shall be paid (certified funds only) to the Department of Liquor before 5:00 p.m. Thursday, February 4, 2021, except alternate applicants that are notified after January 8, 2021 shall be given 28 days from the date of notification. Without exception, failure to submit payment will result in automatic forfeiture, and any previously paid fees identified as "non-refundable" will not be returned.

Non-refundable Final Payment: The balance of the fair market value will be paid (certified funds only) by the earlier of 1) 5:00 p.m. Tuesday, August 3, 2021 (Exception: Alternate applicant's whose initial deposit due date has been adjusted, the final payment due date will be adjusted accordingly) 2) within 105 days of submitting the application or 3) within 5 business days after the Dept. of Liquor notified the applicant that the license is ready for issuance). Without exception, failure to submit payment will result in automatic forfeiture, and any previously paid fees identified as "non-refundable" will not be returned.

VIII. Applications, Business Location Not Established:

Entrants selected to apply for a 2020 lottery license must establish a business location and submit a completed application no later than Monday, October 23, 2023. Without exception, failure to do so will result in forfeiture of the lottery license and any previously submitted fees.

IX. Non-transferable:

Entry forms and eligibility to apply for a lottery license (determined by the Random Selection Drawing) are not transferable. **Lottery licenses are not transferable until issued pursuant to A.R.S. §4-201.** Entrants selected to apply for a liquor license are required to use the entrant's name and, when applicable, the corporation/LLC/partnership name submitted on the Lottery entry form when completing the application; however an individual entrant that is selected may apply as a corporation/LLC/partnership as long as he or she is a controlling member of said corporation/LLC/partnership.