

# Arizona Department of Liquor Licenses and Control



## November 2019 Governor's Monthly Report (REVISED 9/9/20)

STATE OF ARIZONA  
DEPARTMENT OF LIQUOR LICENSES AND CONTROL  
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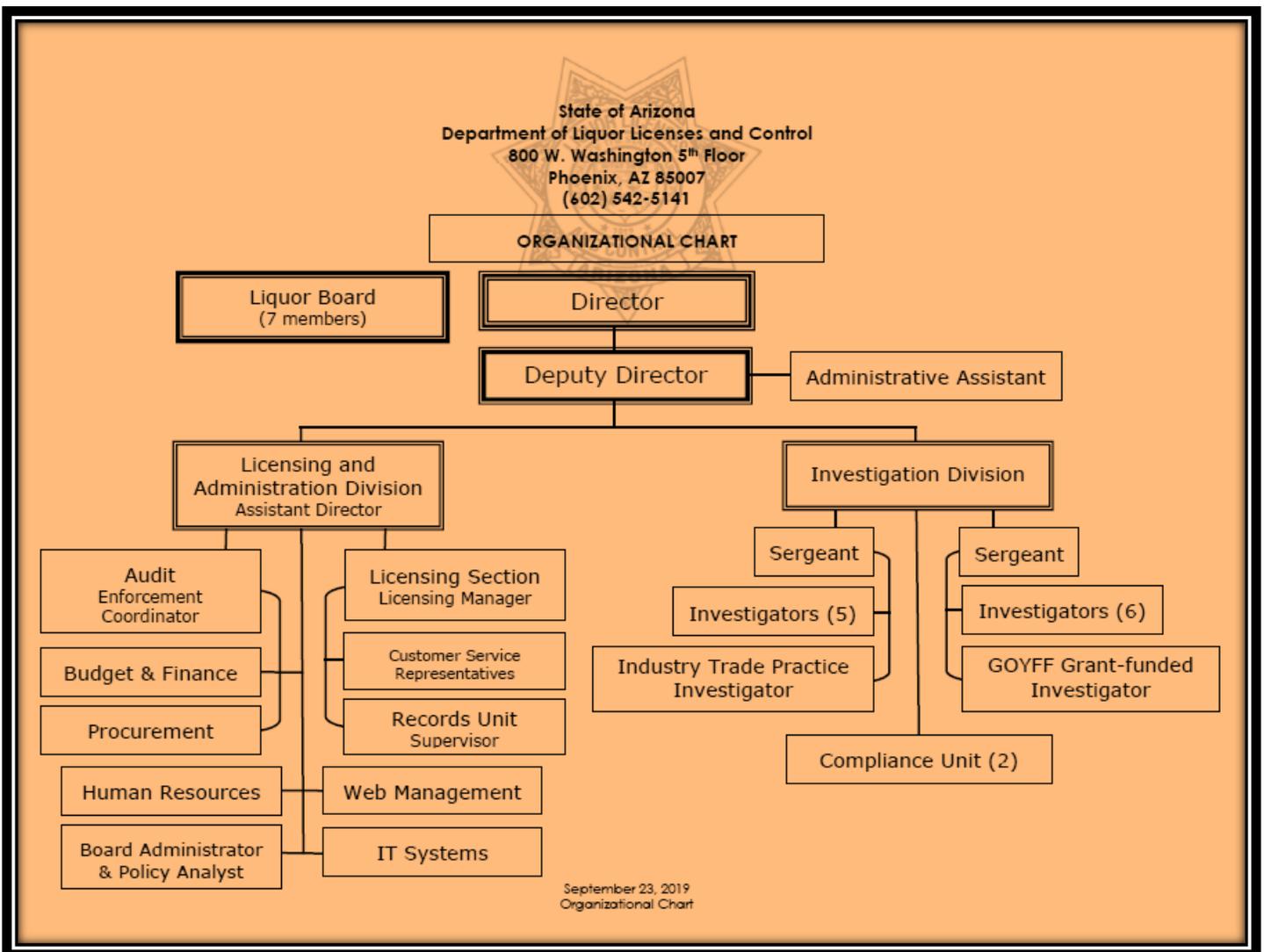


## Mission Statement

*To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.*

## Vision Statement

*We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.*



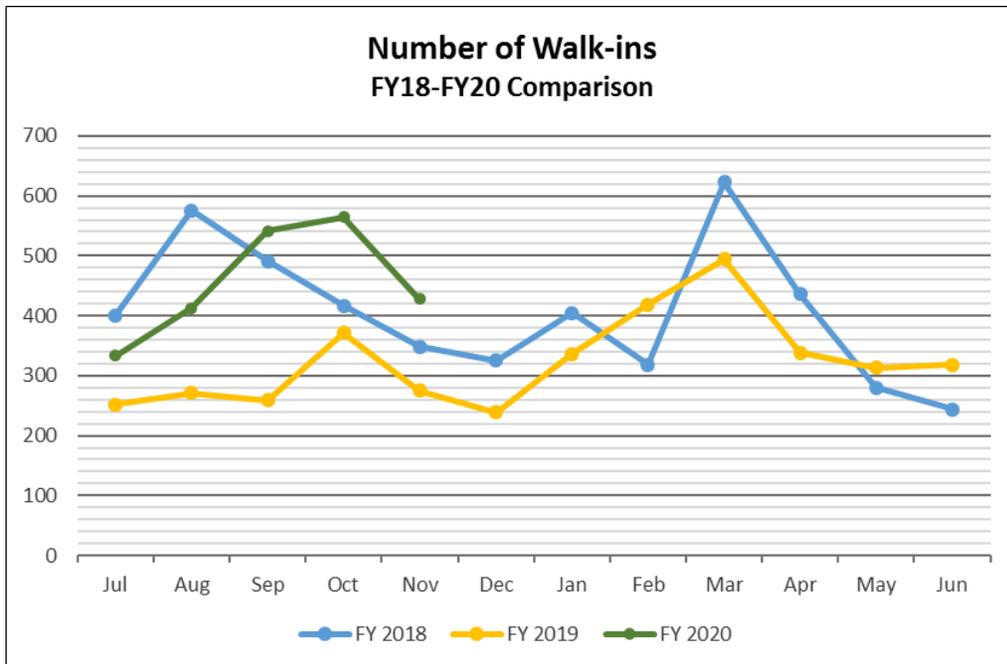
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

# LICENSING AND ADMINISTRATION DIVISION

Transaction	November 2019	FY 2020 Year to Date	FY 2019 Year End
New liquor licenses issued	481	2,292	5,836
Liquor licenses renewed	1,539	4,991	13,280
Special event permits issued	334	1,311	3,108
Interim permits issued	42	191	462
Exempt locations issued	7	95	209
Lottery licenses issued	3	12	31
Active liquor licenses*	14,664	14,664	14,807

\* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License – November 2019			
	Number of Applications	Average Time to Process	FY 2020 Running Average	FY 2019 Year End Average
Series 6-Bar	8	69	63	65
Series 7-Beer and Wine Bar	10	70	68	64
Series 9-Liquor Store	8	64	63	62
Series 10-Beer and Wine Store	13	67	66	67
Series 12-Restaurant	19	76	68	63



## Collected Revenue by Fee Type

Source of Revenue	November 2019	FY 2020 Year to Date	FY 2019 Year End
FMV (Liquor License Lottery)	47,325	1,726,817	3,239,275
Applications	23,390	94,420	227,283
Licenses Issuances Fees	87,000	556,675	1,294,712
Licenses Renewals Fees	142,250	989,963	2,993,564
Interim Permit Fees	5,600	20,100	45,000
Agent Change	3,300	11,850	35,700
Fines (Liquor Law Violations)	18,800	301,724	424,400
Special Event (DHS)	9,425	33,325	80,135
Club (DES)	1,200	21,425	45,025
Penalty Fees (Late Renewals)	4,500	29,250	134,250
Copy Fees	0	594	1,977
Non-Use Surcharge	31,700	136,900	156,600
Audit Surcharge (J Fund)	720	57,870	187,260
Enforcement K Surcharge	55,615	185,220	436,310
Enforcement L Surcharge	55,495	168,510	368,670
Miscellaneous*	9,553	51,441	95,581
17W Direct Shipment License	5,175	20,025	57,150
17W Direct Shipment Renewal	350	675	122,750
Growlers	1,350	10,350	31,285
Samplings	2,000	9,200	31,410
Fingerprints Fees	5,302	25,916	56,038
<b>Total Revenue Collected</b>	<b>\$510,050</b>	<b>\$4,452,250</b>	<b>\$10,064,375</b>

\* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling.

## State Liquor Board

Action	November 2019	FY 2020 Year to Date	FY 2019 Year End
Hearings Scheduled	2	9	32
Licenses Granted	0	3	3
Licenses Denied	0	3	6
Continuances Granted	1	2	7
Continuances Denied	0	0	0
Applications Withdrawn	0	0	12
Appeals Heard	1	2	2
Rehearings Requested	0	0	2
Hearings Cancelled	0	0	0

## Audit Statistics

Active Restaurant-Type Licenses	November 2019	FY 2020 Year to Date Average	FY 2019 Year End
Hotel/Motel w/Restaurant (Series 11)	200	197	190
Restaurant (Series 12)	4,051	4,019	3,980
Total Restaurant-Type Licenses	4,251	4,216	4,170
Auditor-to-Liquor License Ratio	1:2,126	1:2,108	1:2,085
Audit Action		Year to Date Total	FY 2019 Year End
Audits Completed	7	31	41
Audits Passed	6	20	27
Audits Failed	0	10	10
Audits Inconclusive/Audit Not Performed	1	1	4
Open Audit Cases		Year to Date Average	FY 2019 Year End
Audits Closed	7	6	N/A
Audits Initiated	6	6	N/A
Audits in Progress	8	10	12
Open Cases w/projected ratio of >37%	7	7	8
Open Cases w/projected ratio between 30 to 37%	1	3	4
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	0	1	4
Locations being monitored	85	85	92
Source of Revenue		Year to Date Total	FY 2019 Year End
Assessed Fine Revenue	\$500	\$15,500	\$70,300
Collected Fine Revenue	\$1,000	\$13,250	\$44,250

# INVESTIGATION DIVISION

## Division Statistics

Achievements	November 2019	FY 2020 Year to Date	FY 2019 Year End
Officer-to-Liquor License Ratio	1:1,466	1:1,466	1:1,480
<b>Citations</b>			
Total Citations Issued	52	169	471
Citations Issued to Underage	50	146	389
<b>Violations</b>			
Criminal Counts Charged	87	291	858
Administrative Counts Charged	57	259	489
Underage Violations	57	258	890
<b>Compliance</b>			
Routine Liquor Inspections	13	115	225
Compliance Case Reports Submitted	1	14	202
Non-Actioned (Criminal) Complaints	16	144	485
<b>Investigation</b>			
Site Inspections Completed	23	197	481
On-View Violation Case Reports	14	50	105
<b>Total Achievements*</b>	<b>950</b>	<b>4,249</b>	<b>21,625</b>

\* Including police report reviews and completed protests not listed above

## Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	November 2019	FY 2020 Year to Date	FY 2019 Year End
Locations Investigated	7	36	185
Number That Sold to CUB	0	7	42
Percentage of Establishments That Sold to CUB	0%	19.4%	22%
Administrative Citations Issued	0	19	123
Criminal Citations Issued	0	18	98

## Underage Violations

Statute and Violation	Number of Counts Charged in November 2019	Number of Counts Charged in FY 2020	Number of Counts Charged in FY 2019
A.R.S. § 4-241(A) Failure to request ID from underage; accepting unauthorized forms of ID	0	12	58
A.R.S. § 4-241(K) Accepting unauthorized IDs	0	0	0
A.R.S. § 4-241(L) Underage who uses false ID to buy alcohol	13	53	137
A.R.S. § 4-241(M) Underage who solicits another person for alcohol	0	0	7
A.R.S. § 4-241(N) Underage who uses of false ID to gain entry to a liquor business	4	6	32
A.R.S. § 4-241(P) Person purchasing alcohol for underage	0	1	0
A.R.S. § 4-244(9) Furnishing alcohol to an underage; underage in possession/consumption	17	118	338
A.R.S. § 4-244(41) Underage with alcohol in system	12	39	188
A.R.S. § 13-3613 Contributing to delinquency and dependency of a child	0	2	2
A.R.S. § 28-3478.1 Unlawful use of driver license	9	18	111
A.R.S. § 28-3478.2 Lend ID to another person	0	0	0
A.R.S. § 28-3478.3 Using another person's driver license	2	6	10
<b>Total Violations</b>	<b>69</b>	<b>284</b>	<b>890</b>

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
					9	26	15

## Law Enforcement Special Detail

- Covert Underage Buyer Program (CUB) (Navajo County) – Detective Carruthers
- CUB (Maricopa County) – Detective Barchak and Detective Allard
- Dusk-til-Dawn festival detail (Tucson) – Sergeant Kuhl, Sergeant Palubeskie, Detective Zacarias, Detective Fletcher, and Detective Barchak
- Title 4 training at Phoenix Police Department (1 new liaison) – Detective Webb
- Title 4 and Fake I.D. training at Tucson Police Department (2 events) (17 officers total) – Detective Webb
- Title 4 and Fake I.D. training with Yuma Police Department and Cocopah Police Department (33 officers) – Detective Webb
- Alcohol Awareness training at Winkelman (90 community members) – Detective Webb

## Compliance Statistics

Action	November 2019	FY 2020 Year to Date	FY 2019 Year End
Revocations	0	1	0
Suspensions	0	6	6
Surrenders	4	29	22
Divestitures	0	0	2
Administrative Complaints	0	1	0
Decisions and Orders	0	1	2
Cases Received	24	113	592
Cases Completed	5	13	66
Cases in Progress	19	100	600
Warning Letter Issued	1	2	38
Cases Sent to the Office of Administrative Hearings	0	0	3
Fines Collected	\$10,125	\$244,925	\$378,550
Cases Adjudicated	21	107	346
<b>Action Resulting From Underage Violations</b>			
Fines Collected	\$1,500	\$21,000	\$72,905

END OF NOVEMBER 2019 REPORT TO THE GOVERNOR