

Arizona Department of Liquor Licenses and Control



October 2020 Governor's Monthly Report

STATE OF ARIZONA
DEPARTMENT OF LIQUOR LICENSES AND CONTROL
JOHN COCCA, DIRECTOR

800 West Washington Street, Fifth Floor ★ Phoenix, Arizona 85007
Phone: (602) 542-5141 ★ Fax: (602) 542-5707 ★ www.azliquor.gov

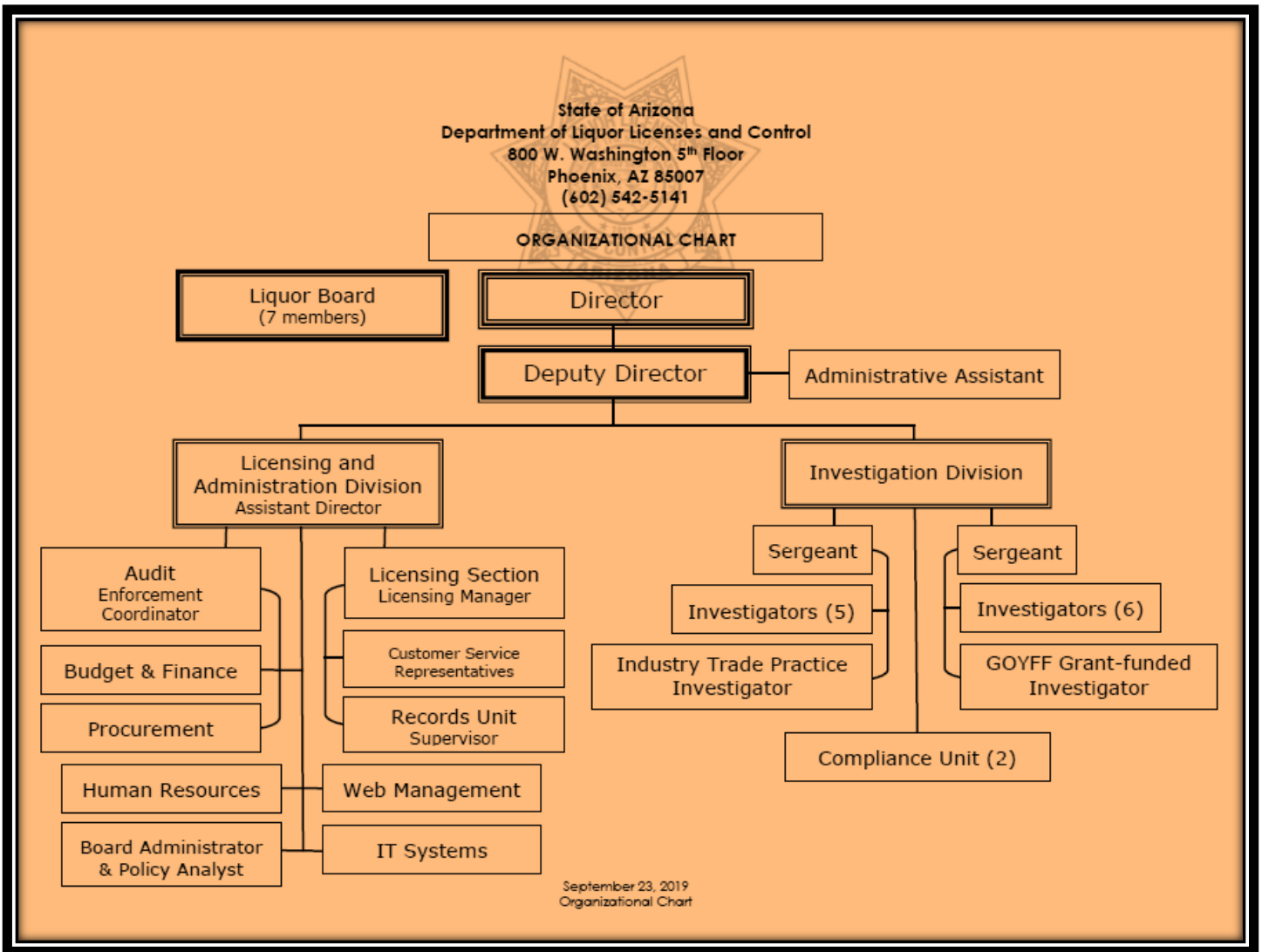


Mission Statement

To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.

Vision Statement

We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.



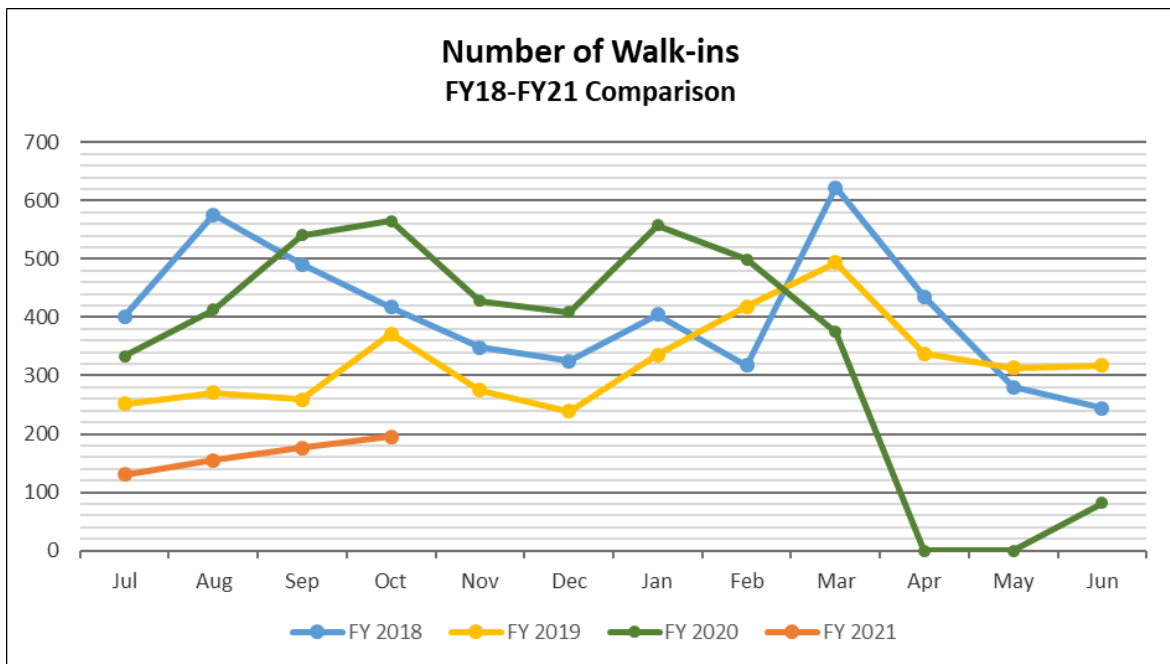
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

LICENSING AND ADMINISTRATION DIVISION

Transaction	October 2020	FY 2021 Year to Date	FY 2020 Year End
New liquor licenses issued	179	649	4,805
Liquor licenses renewed	869	3,757	13,356
Special event permits issued	0	0	2,407
Interim permits issued	50	156	452
Exempt locations issued	21	65	250
Lottery licenses issued	0	0	31
Active liquor licenses*	14,824	14,824	14,744

* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License October 2020			
	Number of Applications	Average Time to Process	FY 2021 Running Average	FY 2020 Year End Average
Series 6-Bar	2	65	55	69
Series 7-Beer and Wine Bar	2	50	55	68
Series 9-Liquor Store	0	N/A	56	62
Series 10-Beer and Wine Store	9	65	61	68
Series 12-Restaurant	22	66	66	68



Collected Revenue by Fee Type

Source of Revenue	October 2020	FY 2021 Year to Date	FY 2020 Year End
FMV (Liquor License Lottery)	41,125	303,842	4,383,866
Applications	16,380	60,500	223,190
Licenses Issuances Fees	110,325	335,250	1,421,563
Licenses Renewals Fees	126,048	857,835	2,995,570
Interim Permit Fees	5,100	16,200	44,300
Agent Change	2,100	10,900	35,700
Fines (Liquor Law Violations)	50,058	157,075	570,499
Special Event (DHS)	0	0	62,615
Club (DES)	5,125	20,025	45,625
Penalty Fees (Late Renewals)	11,550	20,100	76,950
Copy Fees	125	968	2,135
Non-Use Surcharge	24,500	66,700	227,800
Audit Surcharge (J Fund)	4,710	58,230	187,710
Enforcement K Surcharge	32,620	132,510	439,425
Enforcement L Surcharge	31,135	115,065	378,345
Miscellaneous*	6,150	29,088	112,362
17W Direct Shipment License	2,475	11,475	57,950
17W Direct Shipment Renewal	0	0	154,100
Growlers	2,625	12,725	35,925
Samplings	1,960	7,080	29,980
Fingerprints Fees	3,762	15,598	55,576
Total Revenue Collected	\$477,873	\$2,231,166	\$11,541,180

* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling

State Liquor Board

Action	October 2020	FY 2021 Year to Date	FY 2020 Year End
Hearings Scheduled	4	10	22
Licenses Granted	0	1	6
Licenses Denied	1	3	3
Continuances Granted	3	3	3
Continuances Denied	0	0	0
Applications Withdrawn	0	3	6
Appeals Heard	0	0	3
Rehearings Requested	0	0	0
Hearings Cancelled	0	0	1

Audit Statistics

Active Restaurant-Type Licenses	October 2020	FY 2021 Year to Date Average	FY 2020 Year End
Hotel/Motel w/Restaurant (Series 11)	203	196	196
Restaurant (Series 12)	4,030	4,023	4,035
Total Restaurant-Type Licenses	4,233	4,220	4,231
Auditor-to-Liquor License Ratio	1:2,117	1:2,110	1:2,116
Audit Action		Year to Date Total	FY 2020 Year End
Audits Completed	8	35	42
Audits Passed	4	16	27
Audits Failed	2	13	14
Audits Inconclusive/Audit Not Performed	2	6	1
Open Audit Cases		Year to Date Average	FY 2020 Year End
Audits Closed	8	9	4
Audits Initiated	3	8	4
Audits in Progress	9	11	9
Open Cases w/projected ratio of >37%	7	7	6
Open Cases w/projected ratio between 30 to 37%	2	3	3
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	1	2	0
Locations being monitored	94	98	95
Source of Revenue		Year to Date Total	FY 2020 Year End
Assessed Fine Revenue	\$11,500	\$15,000	\$33,500
Collected Fine Revenue	\$24,750	\$28,000	\$101,000

INVESTIGATION DIVISION

Division Statistics

Achievements	October 2020	FY 2021 Year to Date	FY 2020 Year End
Officer-to-Liquor License Ratio	1:1,482	1:1,482	1:1,474
Citations			
Total Citations Issued	9	78	321
Citations Issued to Underage	8	66	276
Violations			
Criminal Counts Charged	19	152	568
Administrative Counts Charged	104	167	2,650
Underage Violations	18	147	517
Compliance			
Routine Liquor Inspections	15	76	178
Compliance Case Reports Submitted	6	12	29
Non-Actioned (Criminal) Complaints	108	644	496
Investigation			
Site Inspections Completed	63	141	421
On-View Violation Case Reports	25	45	163
Total Achievements*	839	3,504	11,986

* Including police report reviews and completed protests not listed above

Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	October 2020	FY 2021 Year to Date	FY 2020 Year End
Locations Investigated*	0	0	52
Number That Sold to CUB	0	0	10
Percentage of Establishments That Sold to CUB	0	0	19%
Administrative Citations Issued	0	0	25
Criminal Citations Issued	0	0	24

* Due to the COVID-19 public health emergency

Underage Violations

Statute and Violation	Number of Counts Charged in October 2020	Number of Counts Charged in FY 2021	Number of Counts Charged in FY 2020
A.R.S. § 4-241(A) Failure to request ID from underage; accepting unauthorized forms of ID	1	3	19
A.R.S. § 4-241(K) Accepting unauthorized IDs	0	0	0
A.R.S. § 4-241(L) Underage who uses false ID to buy alcohol	7	49	85
A.R.S. § 4-241(M) Underage who solicits another person for alcohol	0	0	0
A.R.S. § 4-241(N) Underage who uses of false ID to gain entry to a liquor business	0	0	16
A.R.S. § 4-241(P) Person purchasing alcohol for underage	0	0	4
A.R.S. § 4-244(9) Furnishing alcohol to an underage; underage in possession/consumption	9	74	218
A.R.S. § 4-244(41) Underage with alcohol in system	1	2	92
A.R.S. § 13-3613 Contributing to delinquency and dependency of a child	0	0	2
A.R.S. § 28-3478.1 Unlawful use of driver license	0	19	71
A.R.S. § 28-3478.2 Lend ID to another person	0	0	0
A.R.S. § 28-3478.3 Using another person's driver license	0	0	8
Total Violations	18	147	517

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
	0	0	0	0	2	3	3

Law Enforcement Special Detail

- Mill Avenue detail in Tempe on 10/9 and 10/10 – Detective Sanchez, Detective Allard and Detective Barchak
- Executive Order detail on 10/23 and 10/24 – Detective Sanchez, Detective Allard and Detective Barchak
- RAVE detail in Wittman with Maricopa County Sheriff’s Office on 10/31 – Detective Allard and Detective Barchak
- Outreach for educational contacts (43 contacts) – Detective Webb
- Title 4 training for Phoenix PD (4 officers) – Detective Webb
- Alcohol Awareness training (6 classes) for Blue Ridge High School in Pinetop (95 students) – Detective Webb
- Coalition classes for Matforce in Prescott Valley and Circles of Peace in Nogales (44 attendees) – Detective Webb

Compliance Statistics

Action	October 2020	FY 2021 Year to Date	FY 2020 Year End
Revocations	2	2	1
Suspensions	4	17	8
Surrenders	1	3	35
Divestitures	0	1	2
Administrative Complaints	3	13	3
Decisions and Orders	2	2	2
Cases Received	39	81	453
Cases Completed	3	7	57
Cases in Progress	36	74	407
Warning Letter Issued	1	8	45
Cases Sent to the Office of Administrative Hearings	2	11	4
Fines Collected	\$64,900	\$134,975	\$472,800
Cases Adjudicated	0	36	262
Action Resulting From Underage Violations			
Fines Collected	\$0	\$0	\$34,500

END OF OCTOBER 2020 REPORT TO THE GOVERNOR