

Arizona Department of Liquor Licenses and Control



November 2020 Governor's Monthly Report

STATE OF ARIZONA
DEPARTMENT OF LIQUOR LICENSES AND CONTROL
JOHN COCCA, DIRECTOR

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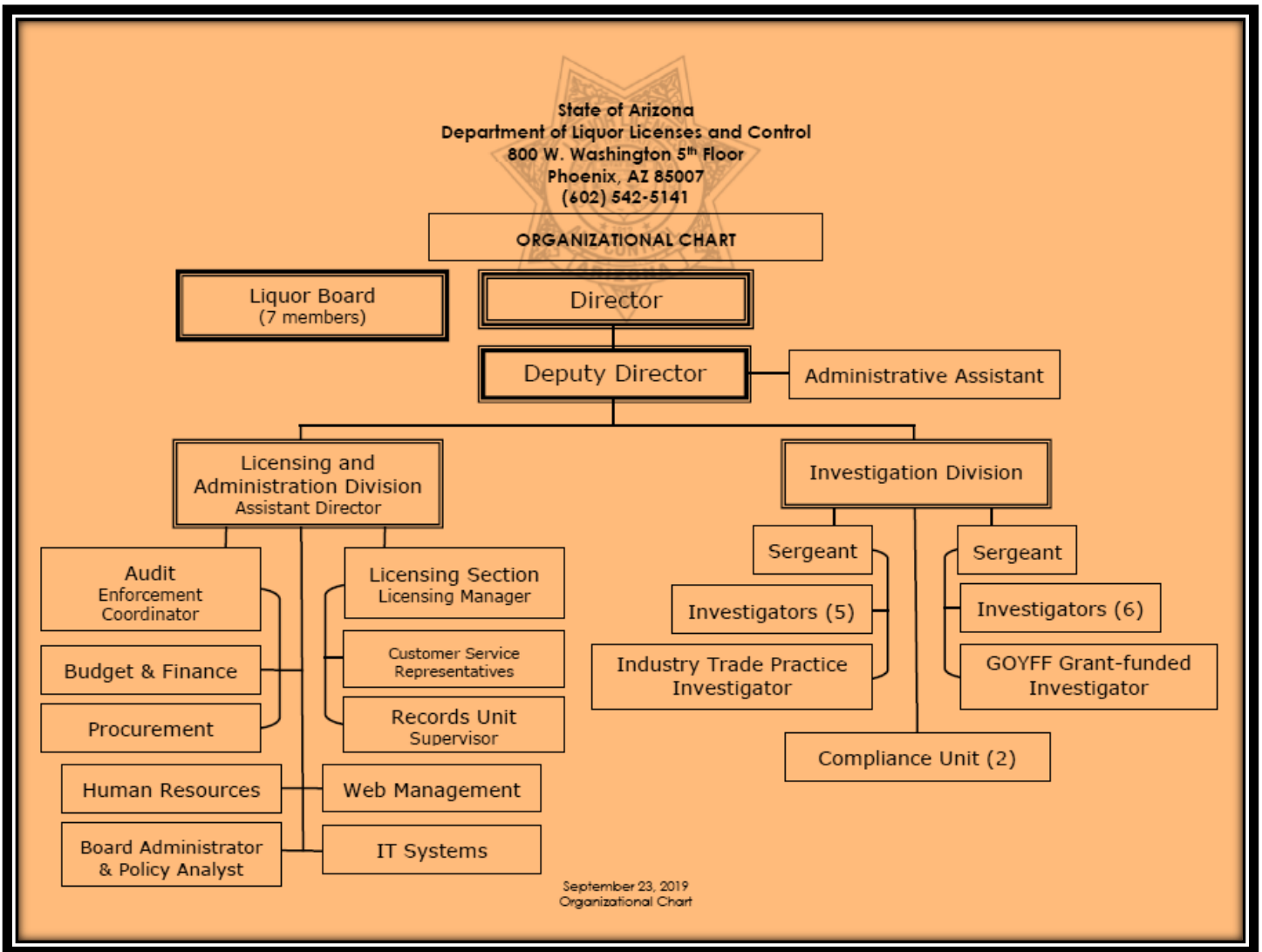


Mission Statement

To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.

Vision Statement

We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.



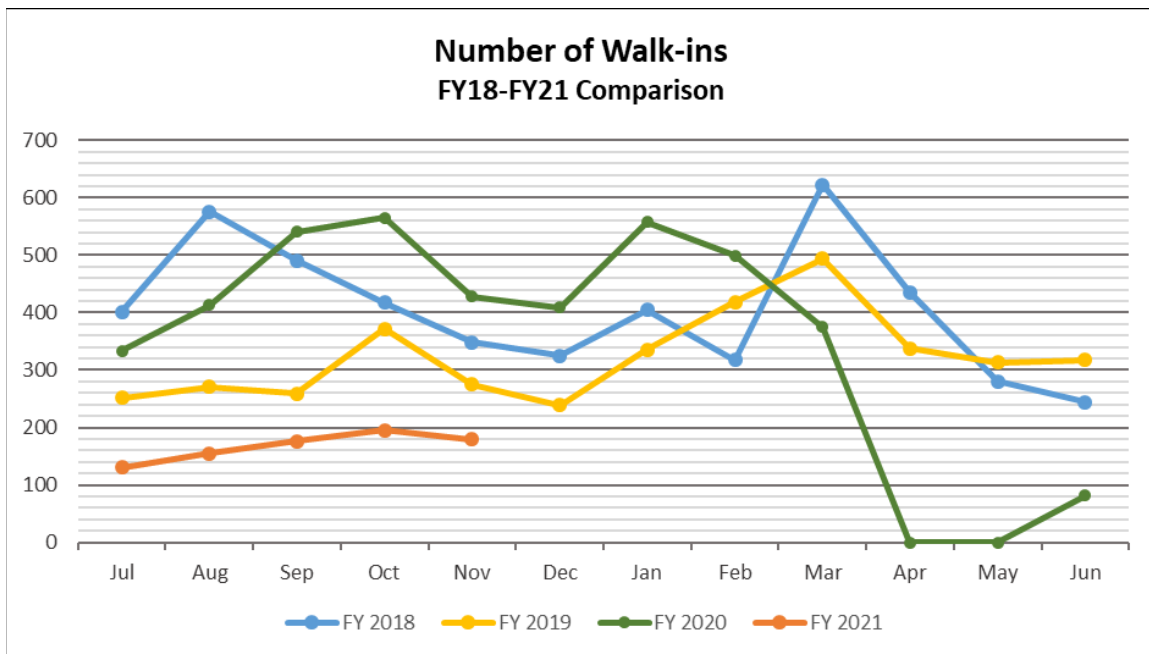
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

LICENSING AND ADMINISTRATION DIVISION

Transaction	November 2020	FY 2021 Year to Date	FY 2020 Year End
New liquor licenses issued	125	774	4,805
Liquor licenses renewed	1,890	5,647	13,356
Special event permits issued	0	0	2,407
Interim permits issued	27	183	452
Exempt locations issued	16	81	250
Lottery licenses issued	0	0	31
Active liquor licenses*	15,340	15,340	14,744

* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License November 2020			
	Number of Applications	Average Time to Process	FY 2021 Running Average	FY 2020 Year End Average
Series 6-Bar	2	68	57	69
Series 7-Beer and Wine Bar	1	62	56	68
Series 9-Liquor Store	3	70	60	62
Series 10-Beer and Wine Store	21	63	61	68
Series 12-Restaurant	24	65	65	68



Collected Revenue by Fee Type

Source of Revenue	November 2020	FY 2021 Year to Date	FY 2020 Year End
FMV (Liquor License Lottery)	167,750	471,592	4,383,866
Applications	13,660	74,160	223,190
Licenses Issuances Fees	89,475	424,725	1,421,563
Licenses Renewals Fees	177,175	1,035,010	2,995,570
Interim Permit Fees	3,900	20,100	44,300
Agent Change	1,500	12,400	35,700
Fines (Liquor Law Violations)	46,850	203,925	570,499
Special Event (DHS)	0	0	62,615
Club (DES)	3,250	23,275	45,625
Penalty Fees (Late Renewals)	5,700	25,800	76,950
Copy Fees	0	968	2,135
Non-Use Surcharge	9,600	76,300	227,800
Audit Surcharge (J Fund)	1,170	59,400	187,710
Enforcement K Surcharge	65,625	198,135	439,425
Enforcement L Surcharge	65,250	180,315	378,345
Miscellaneous*	6,043	35,131	112,362
17W Direct Shipment License	2,125	13,600	57,950
17W Direct Shipment Renewal	175	175	154,100
Growlers	675	13,400	35,925
Samplings	5,440	12,520	29,980
Fingerprints Fees	3,168	18,766	55,576
Total Revenue Collected	\$668,531	\$2,899,697	\$11,541,180

* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling

State Liquor Board

Action	November 2020	FY 2021 Year to Date	FY 2020 Year End
Hearings Scheduled	6	16	22
Licenses Granted	4	5	6
Licenses Denied	0	3	3
Continuances Granted	1	4	3
Continuances Denied	0	0	0
Applications Withdrawn	0	3	6
Appeals Heard	0	0	3
Rehearings Requested	1	1	0
Hearings Cancelled	0	0	1

Audit Statistics

Active Restaurant-Type Licenses	November 2020	FY 2021 Year to Date Average	FY 2020 Year End
Hotel/Motel w/Restaurant (Series 11)	206	198	196
Restaurant (Series 12)	4,056	4,030	4,035
Total Restaurant-Type Licenses	4,262	4,228	4,231
Auditor-to-Liquor License Ratio	1:4,262	1:2,540	1:2,116
Audit Action		Year to Date Total	FY 2020 Year End
Audits Completed	10	45	42
Audits Passed	7	23	27
Audits Failed	2	15	14
Audits Inconclusive/Audit Not Performed	1	7	1
Open Audit Cases		Year to Date Average	FY 2020 Year End
Audits Closed	10	9	4
Audits Initiated	10	8	4
Audits in Progress	9	11	9
Open Cases w/projected ratio of >37%	8	7	6
Open Cases w/projected ratio between 30 to 37%	1	2	3
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	1	2	0
Locations being monitored	86	96	95
Source of Revenue		Year to Date Total	FY 2020 Year End
Assessed Fine Revenue	\$0	\$15,000	\$33,500
Collected Fine Revenue	\$8,500	\$36,500	\$101,000

INVESTIGATION DIVISION

Division Statistics

Achievements	November 2020	FY 2021 Year to Date	FY 2020 Year End
Officer-to-Liquor License Ratio	1:1,534	1:1,534	1:1,474
Citations			
Total Citations Issued	0	78	321
Citations Issued to Underage	0	66	276
Violations			
Criminal Counts Charged	0	152	568
Administrative Counts Charged	103	270	2,650
Underage Violations	0	147	517
Compliance			
Routine Liquor Inspections	8	84	178
Compliance Case Reports Submitted	1	13	29
Non-Actioned (Criminal) Complaints	50	694	496
Investigation			
Site Inspections Completed	63	204	421
On-View Violation Case Reports	6	51	163
Total Achievements*	901	4,405	11,986

* Including police report reviews and completed protests not listed above

Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	November 2020	FY 2021 Year to Date	FY 2020 Year End
Locations Investigated*	0	0	52
Number That Sold to CUB	0	0	10
Percentage of Establishments That Sold to CUB	0	0	19%
Administrative Citations Issued	0	0	25
Criminal Citations Issued	0	0	24

* Due to the COVID-19 public health emergency

Underage Violations

Statute and Violation	Number of Counts Charged in November 2020	Number of Counts Charged in FY 2021	Number of Counts Charged in FY 2020
A.R.S. § 4-241(A) Failure to request ID from underage; accepting unauthorized forms of ID	0	3	19
A.R.S. § 4-241(K) Accepting unauthorized IDs	0	0	0
A.R.S. § 4-241(L) Underage who uses false ID to buy alcohol	0	49	85
A.R.S. § 4-241(M) Underage who solicits another person for alcohol	0	0	0
A.R.S. § 4-241(N) Underage who uses of false ID to gain entry to a liquor business	0	0	16
A.R.S. § 4-241(P) Person purchasing alcohol for underage	0	0	4
A.R.S. § 4-244(9) Furnishing alcohol to an underage; underage in possession/consumption	0	74	218
A.R.S. § 4-244(41) Underage with alcohol in system	0	2	92
A.R.S. § 13-3613 Contributing to delinquency and dependency of a child	0	0	2
A.R.S. § 28-3478.1 Unlawful use of driver license	0	19	71
A.R.S. § 28-3478.2 Lend ID to another person	0	0	0
A.R.S. § 28-3478.3 Using another person's driver license	0	0	8
Total Violations	0	147	517

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
	0	0	0	0	0	0	0

Law Enforcement Special Detail

- Executive Order detail with Nogales PD on 11/27 – Detective Ramirez
- Outreach for educational contacts (19 contacts) – Detective Webb

Compliance Statistics

Action	November 2020	FY 2021 Year to Date	FY 2020 Year End
Revocations	0	2	1
Suspensions	0	17	8
Surrenders	0	3	35
Divestitures	1	2	2
Administrative Complaints	1	14	3
Decisions and Orders	0	2	2
Cases Received	9	90	453
Cases Completed	1	8	57
Cases in Progress	8	82	407
Warning Letter Issued	1	9	45
Cases Sent to the Office of Administrative Hearings	1	12	4
Fines Collected	\$40,250	\$175,225	\$472,800
Cases Adjudicated	0	36	262
Action Resulting From Underage Violations			
Fines Collected	\$0	\$0	\$34,500

END OF NOVEMBER 2020 REPORT TO THE GOVERNOR