

Arizona Department of Liquor Licenses and Control



December 2020 Governor's Monthly Report

STATE OF ARIZONA
DEPARTMENT OF LIQUOR LICENSES AND CONTROL
JOHN COCCA, DIRECTOR

800 West Washington Street, Fifth Floor ★ Phoenix, Arizona 85007
Phone: (602) 542-5141 ★ Fax: (602) 542-5707 ★ www.azliquor.gov

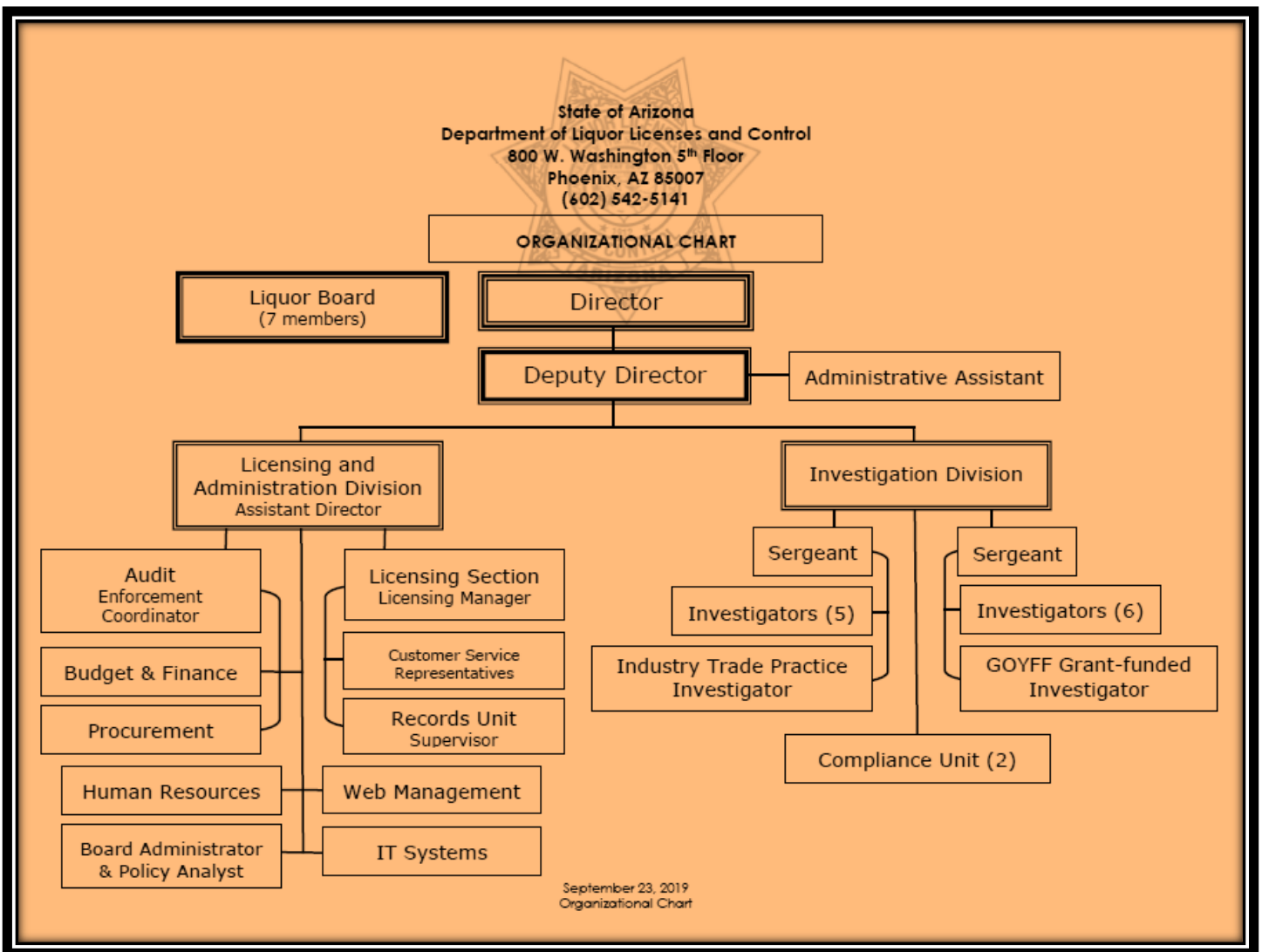


Mission Statement

To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.

Vision Statement

We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.



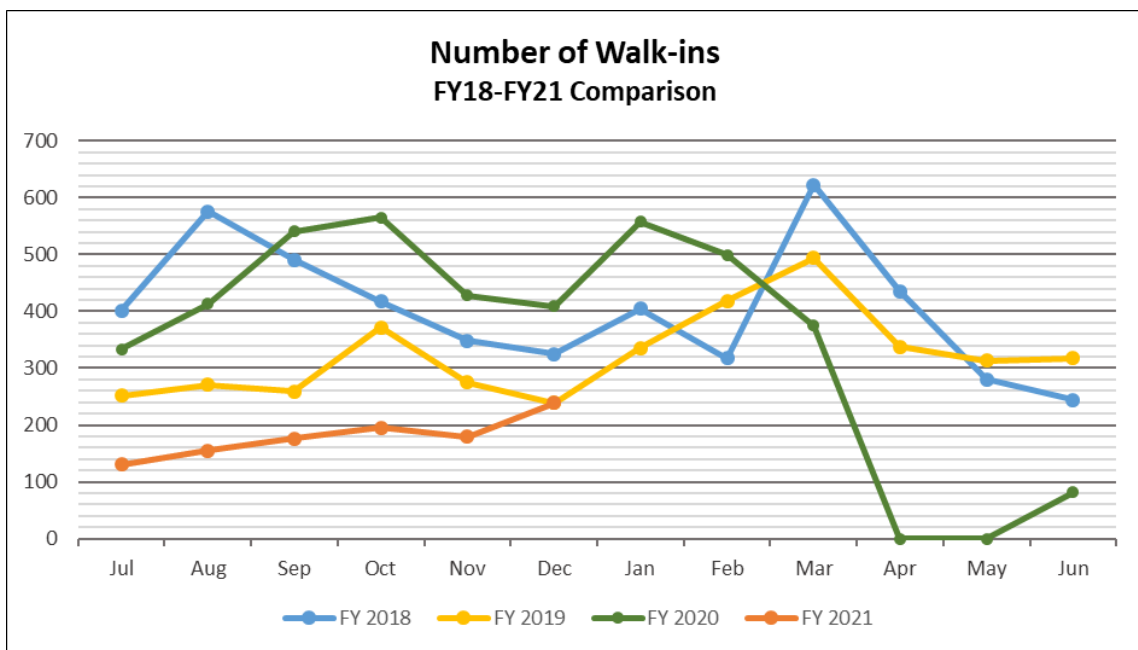
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

LICENSING AND ADMINISTRATION DIVISION

Transaction	December 2020	FY 2021 Year to Date	FY 2020 Year End
New liquor licenses issued	126	900	4,805
Liquor licenses renewed	1,905	7,552	13,356
Special event permits issued	1	1	2,407
Interim permits issued	27	210	452
Exempt locations issued	16	97	250
Lottery licenses issued	0	0	31
Active liquor licenses*	15,148	15,148	14,744

* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License December 2020			
	Number of Applications	Average Time to Process	FY 2021 Running Average	FY 2020 Year End Average
Series 6-Bar	1	57	57	69
Series 7-Beer and Wine Bar	10	64	57	68
Series 9-Liquor Store	7	60	60	62
Series 10-Beer and Wine Store	19	64	62	68
Series 12-Restaurant	23	63	65	68



Collected Revenue by Fee Type

Source of Revenue	December 2020	FY 2021 Year to Date	FY 2020 Year End
FMV (Liquor License Lottery)	528,875	1,000,467	4,383,866
Applications	18,255	92,415	223,190
Licenses Issuances Fees	117,850	542,575	1,421,563
Licenses Renewals Fees	137,825	1,172,835	2,995,570
Interim Permit Fees	3,500	23,600	44,300
Agent Change	1,300	13,700	35,700
Fines (Liquor Law Violations)	34,275	238,200	570,499
Special Event (DHS)	25	25	62,615
Club (DES)	3,875	27,150	45,625
Penalty Fees (Late Renewals)	23,700	49,500	76,950
Copy Fees	131	1,100	2,135
Non-Use Surcharge	14,900	91,200	227,800
Audit Surcharge (J Fund)	2,430	61,830	187,710
Enforcement K Surcharge	64,155	262,290	439,425
Enforcement L Surcharge	64,020	244,335	378,345
Miscellaneous*	7,025	42,156	112,362
17W Direct Shipment License	3,650	17,250	57,950
17W Direct Shipment Renewal	2,275	2,450	154,100
Growlers	1,125	14,525	35,925
Samplings	14,600	27,120	29,980
Fingerprints Fees	3,916	22,682	55,576
Total Revenue Collected	\$1,047,707	\$3,947,404	\$11,541,180

* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling

State Liquor Board

Action	December 2020	FY 2021 Year to Date	FY 2020 Year End
Hearings Scheduled	2	18	22
Licenses Granted	1	6	6
Licenses Denied	0	3	3
Continuances Granted	0	4	3
Continuances Denied	0	0	0
Applications Withdrawn	1	4	6
Appeals Heard	0	0	3
Rehearings Requested	0	1	0
Hearings Cancelled	0	0	1

Audit Statistics

Active Restaurant-Type Licenses	December 2020	FY 2021 Year to Date Average	FY 2020 Year End
Hotel/Motel w/Restaurant (Series 11)	205	200	196
Restaurant (Series 12)	4,085	4,039	4,035
Total Restaurant-Type Licenses	4,290	4,238	4,231
Auditor-to-Liquor License Ratio	1:4,290	1:2,832	1:2,116
Audit Action		Year to Date Total	FY 2020 Year End
Audits Completed	7	52	42
Audits Passed	7	30	27
Audits Failed	0	15	14
Audits Inconclusive/Audit Not Performed	0	7	1
Open Audit Cases		Year to Date Average	FY 2020 Year End
Audits Closed	7	9	4
Audits Initiated	8	8	4
Audits in Progress	10	10	9
Open Cases w/projected ratio of >37%	9	8	6
Open Cases w/projected ratio between 30 to 37%	1	2	3
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	0	1	0
Locations being monitored	81	93	95
Source of Revenue		Year to Date Total	FY 2020 Year End
Assessed Fine Revenue	\$3,500	\$18,500	\$33,500
Collected Fine Revenue	\$2,250	\$38,750	\$101,000

INVESTIGATION DIVISION

Division Statistics

Achievements	December 2020	FY 2021 Year to Date	FY 2020 Year End
Officer-to-Liquor License Ratio	1:1,515	1:1,515	1:1,474
Citations			
Total Citations Issued	2	80	321
Citations Issued to Underage	1	67	276
Violations			
Criminal Counts Charged	3	155	568
Administrative Counts Charged	68	338	2,650
Underage Violations	2	149	517
Compliance			
Routine Liquor Inspections	7	91	178
Compliance Case Reports Submitted	6	19	29
Non-Actioned (Criminal) Complaints	72	766	496
Investigation			
Site Inspections Completed	48	252	421
On-View Violation Case Reports	11	62	163
Total Achievements*	537	4,942	11,986

* Including police report reviews and completed protests not listed above

Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	December 2020	FY 2021 Year to Date	FY 2020 Year End
Locations Investigated	0	0	52
Number That Sold to CUB	0	0	10
Percentage of Establishments That Sold to CUB	0	0	19%
Administrative Citations Issued	0	0	25
Criminal Citations Issued	0	0	24

* Due to the COVID-19 public health emergency

Underage Violations

Statute and Violation	Number of Counts Charged in December 2020	Number of Counts Charged in FY 2021	Number of Counts Charged in FY 2020
A.R.S. § 4-241(A) Failure to request ID from underage; accepting unauthorized forms of ID	0	3	19
A.R.S. § 4-241(K) Accepting unauthorized IDs	0	0	0
A.R.S. § 4-241(L) Underage who uses false ID to buy alcohol	1	50	85
A.R.S. § 4-241(M) Underage who solicits another person for alcohol	0	0	0
A.R.S. § 4-241(N) Underage who uses of false ID to gain entry to a liquor business	0	0	16
A.R.S. § 4-241(P) Person purchasing alcohol for underage	0	0	4
A.R.S. § 4-244(9) Furnishing alcohol to an underage; underage in possession/consumption	1	75	218
A.R.S. § 4-244(41) Underage with alcohol in system	0	2	92
A.R.S. § 13-3613 Contributing to delinquency and dependency of a child	0	0	2
A.R.S. § 28-3478.1 Unlawful use of driver license	0	19	71
A.R.S. § 28-3478.2 Lend ID to another person	0	0	0
A.R.S. § 28-3478.3 Using another person's driver license	0	0	8
Total Violations	2	149	517

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
	0	0	0	0	0	0	1

Law Enforcement Special Detail

- Alcohol Awareness training (12 classes) for Cash Middle School (300 teens)
Detective Webb
- Alcohol Awareness training (1 class) for South Mountain Coalition (9 teens)
Detective Webb
- Alcohol Awareness training (4 classes) for Lake Havasu High School (1,668 teens)
Detective Webb
- Alcohol Awareness training (4 classes) for Miami High School (61 teens)
Detective Webb
- Alcohol Awareness training (1 class) for Phoenix PD Explorers (5 teens)
Detective Webb

Compliance Statistics

Action	December 2020	FY 2021 Year to Date	FY 2020 Year End
Revocations	0	2	1
Suspensions	1	18	8
Surrenders	0	3	35
Divestitures	1	3	2
Administrative Complaints	2	16	3
Decisions and Orders	0	2	2
Cases Received	15	105	453
Cases Completed	1	9	57
Cases in Progress	14	96	407
Warning Letter Issued	1	10	45
Cases Sent to the Office of Administrative Hearings	1	13	4
Fines Collected	\$16,875	\$192,100	\$472,800
Cases Adjudicated	0	36	262
Action Resulting From Underage Violations			
Fines Collected	\$10,750	\$38,750	\$34,500

END OF DECEMBER 2020 REPORT TO THE GOVERNOR