

# Arizona Department of Liquor Licenses and Control



**March 2021**

REVISED 6/8/21

## **Governor's Monthly Report**

**STATE OF ARIZONA**

**DEPARTMENT OF LIQUOR LICENSES AND CONTROL**

**JOHN COCCA, DIRECTOR**

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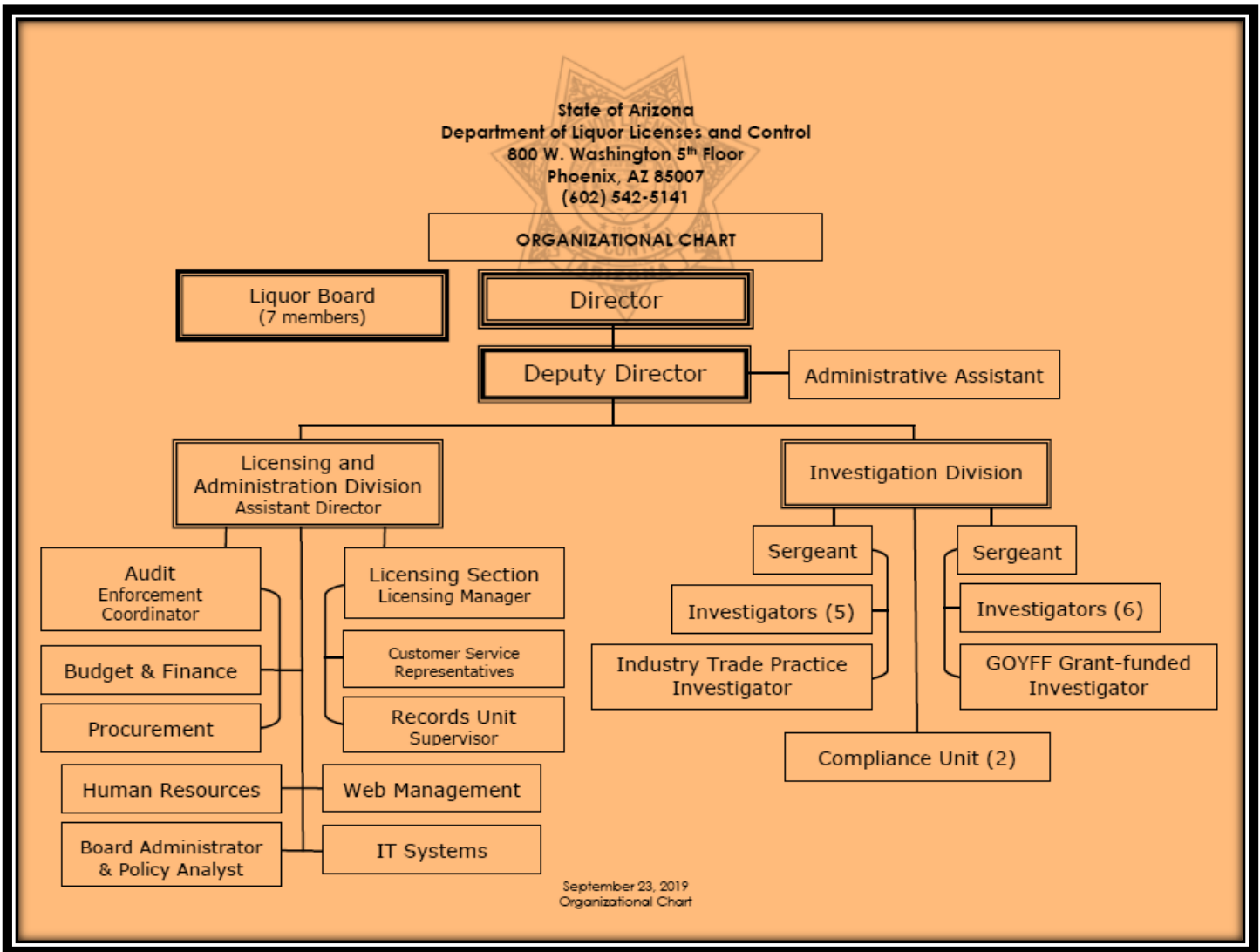


## Mission Statement

*To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.*

## Vision Statement

*We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.*



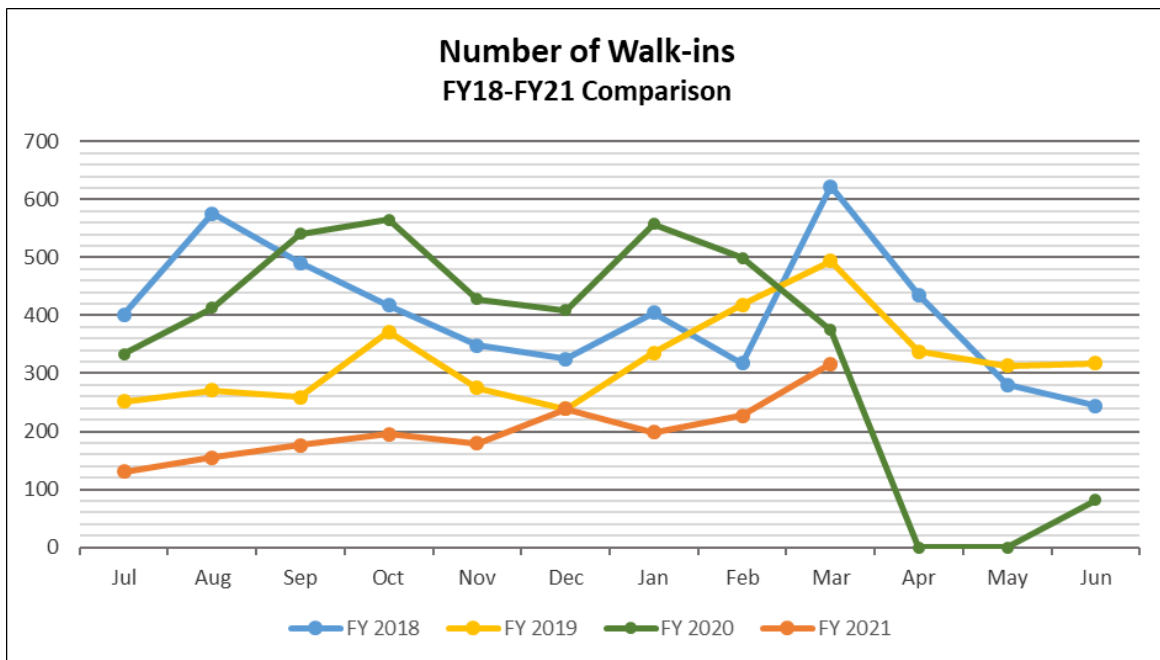
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

# LICENSING AND ADMINISTRATION DIVISION

Transaction	March 2021	FY 2021 Year to Date	FY 2020 Year End
New liquor licenses issued	354	1,633	4,805
Liquor licenses renewed	2,354	12,148	13,356
Special event permits issued	65	138	2,407
Interim permits issued	38	312	452
Exempt locations issued	24	158	250
Lottery licenses issued	0	0	31
Active liquor licenses*	15,453	15,453	14,744

\* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License March 2021			
	Number of Applications	Average Time to Process	FY 2021 Running Average	FY 2020 Year End Average
Series 6-Bar	4	66	59	69
Series 7-Beer and Wine Bar	4	66	59	68
Series 9-Liquor Store	4	68	63	62
Series 10-Beer and Wine Store	8	72	63	68
Series 12-Restaurant	9	64	64	68



## Collected Revenue by Fee Type

Source of Revenue	March 2021	FY 2021 Year to Date	FY 2020 Year End
FMV (Liquor License Lottery)	472,359	2,716,128	4,383,866
Applications	14,870	136,995	223,190
Licenses Issuances Fees	86,850	797,825	1,421,563
Licenses Renewals Fees	1,096,913	2,528,885	2,995,570
Interim Permit Fees	2,900	35,000	44,300
Agent Change	1,950	19,500	35,700
Fines (Liquor Law Violations)	57,535	376,085	570,499
Special Event (DHS)	2,575	4,075	62,615
Club (DES)	800	42,875	45,625
Penalty Fees (Late Renewals)	7,200	76,800	76,950
Copy Fees	12	1,898	2,135
Non-Use Surcharge	33,200	149,400	227,800
Audit Surcharge (J Fund)	63,570	159,630	187,710
Enforcement K Surcharge	80,220	390,740	439,425
Enforcement L Surcharge	48,570	338,375	378,345
Miscellaneous*	8,250	63,206	112,362
17W Direct Shipment License	8,300	34,250	57,950
17W Direct Shipment Renewal	25,400	173,100	154,100
Growlers	16,500	33,725	35,925
Samplings	260	27,660	29,980
Fingerprints Fees	4,202	32,670	55,576
<b>Total Revenue Collected</b>	<b>\$2,032,436</b>	<b>\$8,138,822</b>	<b>\$11,541,180</b>

\* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling

## State Liquor Board

Action	March 2021	FY 2021 Year to Date	FY 2020 Year End
Hearings Scheduled	3	26	22
Licenses Granted	0	9	6
Licenses Denied	2	6	3
Continuances Granted	1	6	3
Continuances Denied	0	0	0
Applications Withdrawn	0	4	6
Appeals Heard	0	0	3
Rehearings Requested	0	1	0
Hearings Cancelled	0	0	1

## Audit Statistics

<b>Active Restaurant-Type Licenses</b>	<b>March 2021</b>	<b>FY 2021 Year to Date Average</b>	<b>FY 2020 Year End</b>
Hotel/Motel w/Restaurant (Series 11)	205	201	196
Restaurant (Series 12)	4,110	4,064	4,035
Total Restaurant-Type Licenses	4,315	4,265	4,231
Auditor-to-Liquor License Ratio	1:2,158	1:2,133	1:2,116
<b>Audit Action</b>		<b>Year to Date Total</b>	<b>FY 2020 Year End</b>
Audits Completed	3	67	42
Audits Passed	1	42	27
Audits Failed	2	18	14
Audits Inconclusive/Audit Not Performed	0	7	1
<b>Open Audit Cases</b>		<b>Year to Date Average</b>	<b>FY 2020 Year End</b>
Audits Closed	3	7	4
Audits Initiated	4	7	4
Audits in Progress	7	9	9
Open Cases w/projected ratio of >37%	6	7	6
Open Cases w/projected ratio between 30 to 37%	1	2	3
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	0	1	0
Locations being monitored	74	88	95
<b>Source of Revenue</b>		<b>Year to Date Total</b>	<b>FY 2020 Year End</b>
Assessed Fine Revenue	\$4,000	\$23,500	\$33,500
Collected Fine Revenue	\$30,000	\$83,750	\$101,000

# INVESTIGATION DIVISION

## Division Statistics

Achievements	March 2021	FY 2021 Year to Date	FY 2020 Year End
Officer-to-Liquor License Ratio	1:1,545	1:1,545	1:1,474
<b>Citations</b>			
Total Citations Issued	21	120	321
Citations Issued to Underage	12	91	276
<b>Criminal Counts Charged</b>			
Criminal Counts Charged	41	227	568
Administrative Counts Charged	133	650	2,650
Underage Violations	25	197	517
<b>Compliance</b>			
Routine Liquor Inspections	16	127	178
Compliance Case Reports Submitted	6	40	29
Non-Actioned (Criminal) Complaints	88	946	496
<b>Investigation</b>			
Site Inspections Completed	42	382	421
On-View Violation Case Reports	24	121	163
<b>Total Achievements*</b>	<b>1,018</b>	<b>7,588</b>	<b>11,986</b>

\* Including police report reviews and completed protests not listed above

## Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	March 2021	FY 2021 Year to Date	FY 2020 Year End
Locations Investigated*	0	0	52
Number That Sold to CUB	0	0	10
Percentage of Establishments That Sold to CUB	0	0	19%
Administrative Citations Issued	0	0	25
Criminal Citations Issued	0	0	24

\* Due to the COVID-19 public health emergency

## Underage Violations

Statute and Violation	Number of Counts Charged in March 2021	Number of Counts Charged in FY 2021	Number of Counts Charged in FY 2020
<b>A.R.S. § 4-241(A)</b> Failure to request ID from underage; accepting unauthorized forms of ID	0	3	19
<b>A.R.S. § 4-241(K)</b> Accepting unauthorized IDs	0	0	0
<b>A.R.S. § 4-241(L)</b> Underage who uses false ID to buy alcohol	12	73	85
<b>A.R.S. § 4-241(M)</b> Underage who solicits another person for alcohol	0	0	0
<b>A.R.S. § 4-241(N)</b> Underage who uses of false ID to gain entry to a liquor business	0	0	16
<b>A.R.S. § 4-241(P)</b> Person purchasing alcohol for underage	0	0	4
<b>A.R.S. § 4-244(9)</b> Furnishing alcohol to an underage; underage in possession/consumption	12	99	218
<b>A.R.S. § 4-244(41)</b> Underage with alcohol in system	0	2	92
<b>A.R.S. § 13-3613</b> Contributing to delinquency and dependency of a child	0	0	2
<b>A.R.S. § 28-3478.1</b> Unlawful use of driver license	1	20	71
<b>A.R.S. § 28-3478.2</b> Lend ID to another person	0	0	0
<b>A.R.S. § 28-3478.3</b> Using another person's driver license	0	0	8
<b>Total Violations</b>	<b>25</b>	<b>197</b>	<b>517</b>

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
	0	0	0	0	3	6	3

## Law Enforcement Special Detail

- Club Silverado youth alcohol detail on 3/20 – Sergeant Kuhl, Detective Sanchez, Detective Trevizo, Detective Barchak, Detective Schrimpf and Detective Allard
- Youth alcohol details on 3/5 and 3/19 – Detective Barchak and Detective Allard
- Route 66 Task Force on 3/17 – Detective Carruthers
- Title 4 training for Maricopa County Sheriff’s Office Academy (38 recruits) – Detective Webb
- Title 4 training for Arizona Youth Partnership (AZYP) Coalition (7 members) – Detective Webb
- Alcohol Awareness training (3 classes) for Blue Ridge High School in Pinetop (63 teens) – Detective Webb
- Alcohol Awareness training for Arizona Youth Partnership (AZYP) Coalition in Kingman (11 teens) – Detective Webb

## Compliance Statistics

Action	March 2021	FY 2021 Year to Date	FY 2020 Year End
Revocations	0	2	1
Suspensions	3	25	8
Surrenders	0	5	35
Divestitures	0	4	2
Administrative Complaints	3	23	3
Decisions and Orders	0	2	2
Cases Received	50	224	453
Cases Completed	17	37	57
Cases in Progress	33	187	407
Warning Letter Issued	9	26	45
Cases Sent to the Office of Administrative Hearings	0	13	4
Fines Collected	\$63,000	\$341,225	\$472,800
Cases Adjudicated	32	83	262
<b>Action Resulting From Underage Violations</b>			
Fines Collected	\$0	\$10,750	\$34,500

END OF MARCH 2021 REPORT TO THE GOVERNOR