

# Arizona Department of Liquor Licenses and Control



## April 2021 Governor's Monthly Report

STATE OF ARIZONA

DEPARTMENT OF LIQUOR LICENSES AND CONTROL

COLONEL HESTON SILBERT, INTERIM DIRECTOR

800 West Washington Street, Fifth Floor ★ Phoenix, Arizona 85007

Phone: (602) 542-5141 ★ Fax: (602) 542-5707 ★ [www.azliquor.gov](http://www.azliquor.gov)

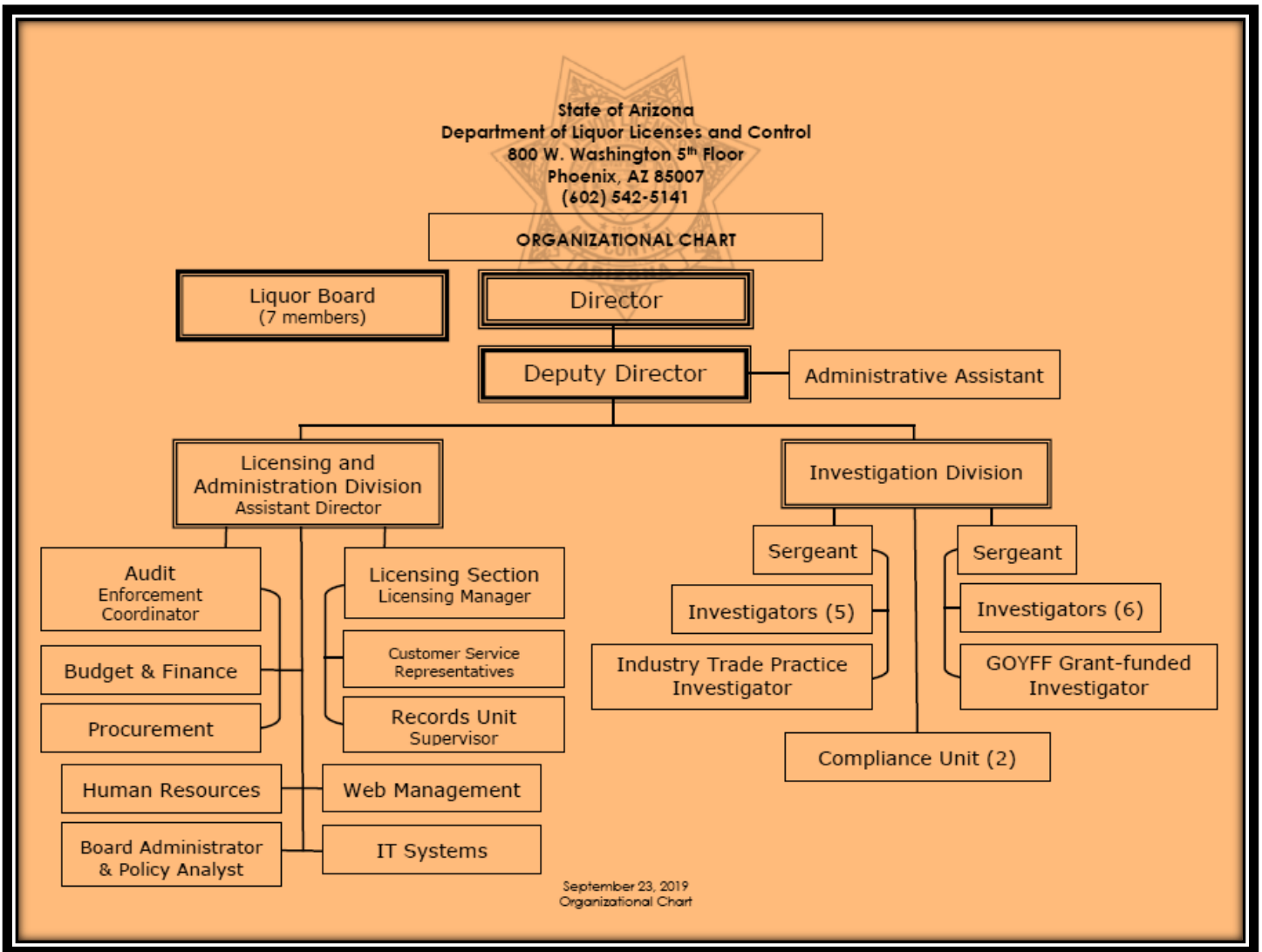


## Mission Statement

*To protect public safety and support economic growth through the responsible sale and consumption of liquor, and to efficiently license qualified applicants.*

## Vision Statement

*We envision being a leader in state liquor licensing and enforcement, focused entirely on delivering exceptional services that meet customers' needs and enrich the communities we serve.*



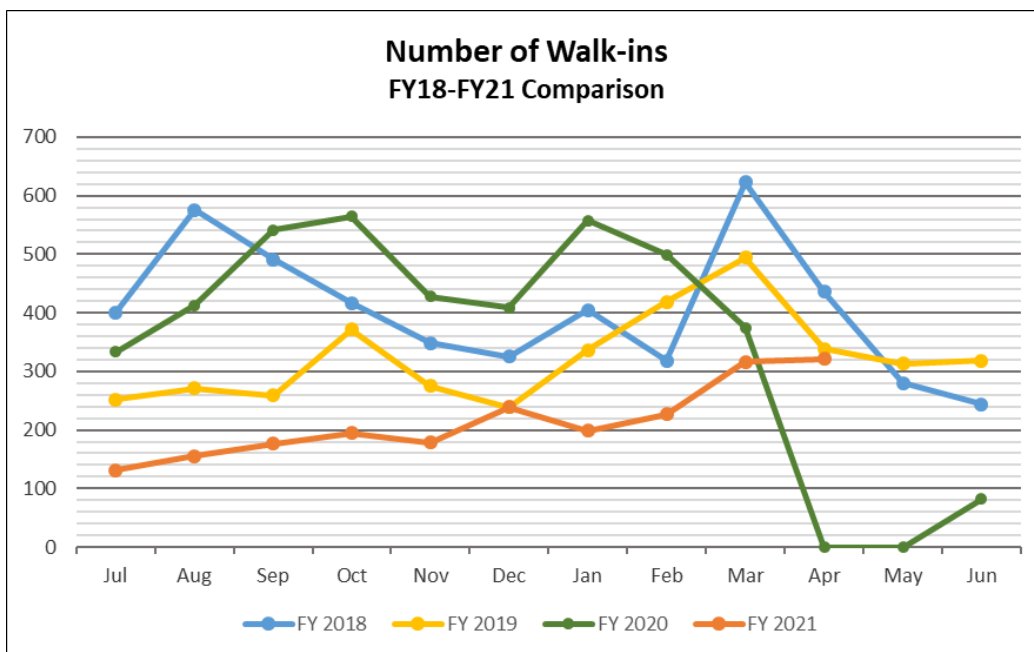
The organizational chart illustrates authorized full-time positions, not the Department's current staffing.

# LICENSING AND ADMINISTRATION DIVISION

Transaction	April 2021	FY 2021 Year to Date	FY 2020 Year End
New liquor licenses issued	335	1,968	4,805
Liquor licenses renewed	801	12,949	13,356
Special event permits issued	138	276	2,407
Interim permits issued	43	355	452
Exempt locations issued	11	169	250
Lottery licenses issued	0	0	31
Active liquor licenses*	15,032	15,032	14,744

\* This number fluctuates daily depending on renewals, new applications, suspensions and revocations.

License Type	Average Number of Days to Issue License April 2021			
	Number of Applications	Average Time to Process	FY 2021 Running Average	FY 2020 Year End Average
Series 6-Bar	6	63	59	69
Series 7-Beer and Wine Bar	1	98	63	68
Series 9-Liquor Store	3	73	64	62
Series 10-Beer and Wine Store	11	67	64	68
Series 12-Restaurant	20	68	65	68



## Collected Revenue by Fee Type

Source of Revenue	April 2021	FY 2021 Year to Date	FY 2020 Year End
FMV (Liquor License Lottery)	617,300	3,333,428	4,383,866
Applications	19,290	156,285	223,190
Licenses Issuances Fees	126,250	924,075	1,421,563
Licenses Renewals Fees	280,875	2,809,760	2,995,570
Interim Permit Fees	5,200	40,200	44,300
Agent Change	300	19,800	35,700
Fines (Liquor Law Violations)	62,265	438,350	570,499
Special Event (DHS)	3,150	7,225	62,615
Club (DES)	900	43,775	45,625
Penalty Fees (Late Renewals)	34,050	110,850	76,950
Copy Fees	395	2,293	2,135
Non-Use Surcharge	10,500	159,900	227,800
Audit Surcharge (J Fund)	14,940	174,570	187,710
Enforcement K Surcharge	21,910	412,650	439,425
Enforcement L Surcharge	14,545	352,920	378,345
Miscellaneous*	6,300	69,506	112,362
17W Direct Shipment License	5,375	39,625	57,950
17W Direct Shipment Renewal	3,250	176,350	154,100
Growlers	3,600	37,325	35,925
Samplings	820	28,480	29,980
Fingerprints Fees	3,894	36,564	55,576
<b>Total Revenue Collected</b>	<b>\$1,235,109</b>	<b>\$9,373,930</b>	<b>\$11,541,180</b>

\* Acquisition of Control, Site Inspection, Extension of Premises, Co-Op, Person Transfer, BYOB, Location Transfer, Sampling

## State Liquor Board

Action	April 2021	FY 2021 Year to Date	FY 2020 Year End
Hearings Scheduled	3	29	22
Licenses Granted	0	9	6
Licenses Denied	0	6	3
Continuances Granted	1	7	3
Continuances Denied	0	0	0
Applications Withdrawn	1	5	6
Appeals Heard	0	0	3
Rehearings Requested	0	1	0
Hearings Cancelled	1	1	1

## Audit Statistics

<b>Active Restaurant-Type Licenses</b>	<b>April 2021</b>	<b>FY 2021 Year to Date Average</b>	<b>FY 2020 Year End</b>
Hotel/Motel w/Restaurant (Series 11)	205	202	196
Restaurant (Series 12)	4,007	4,058	4,035
Total Restaurant-Type Licenses	4,212	4,260	4,231
Auditor-to-Liquor License Ratio	1:2,106	1:2,130	1:2,116
<b>Audit Action</b>		<b>Year to Date Total</b>	<b>FY 2020 Year End</b>
Audits Completed	1	68	42
Audits Passed	1	43	27
Audits Failed	0	18	14
Audits Inconclusive/Audit Not Performed	0	7	1
<b>Open Audit Cases</b>		<b>Year to Date Average</b>	<b>FY 2020 Year End</b>
Audits Closed	1	7	4
Audits Initiated	3	7	4
Audits in Progress	10	9	9
Open Cases w/projected ratio of >37%	8	7	6
Open Cases w/projected ratio between 30 to 37%	2	2	3
Open Cases w/projected ratio of <30%	0	0	0
Locations granted one year to continue operation	0	1	0
Locations being monitored	83	88	95
<b>Source of Revenue</b>		<b>Year to Date Total</b>	<b>FY 2020 Year End</b>
Assessed Fine Revenue	\$4,000	\$27,500	\$33,500
Collected Fine Revenue	\$8,500	\$92,250	\$101,000

# INVESTIGATION DIVISION

## Division Statistics

Achievements	April 2021	FY 2021 Year to Date	FY 2020 Year End
Officer-to-Liquor License Ratio	1:1,503	1:1,503	1:1,474
<b>Citations</b>			
Total Citations Issued	28	148	321
Citations Issued to Underage	28	119	276
<b>Violations</b>			
Criminal Counts Charged	57	284	568
Administrative Counts Charged	81	731	2,650
Underage Violations	56	253	517
<b>Compliance</b>			
Routine Liquor Inspections	22	149	178
Compliance Case Reports Submitted	1	41	29
Non-Actioned (Criminal) Complaints	30	976	496
<b>Investigation</b>			
Site Inspections Completed	37	419	421
On-View Violation Case Reports	22	143	163
<b>Total Achievements*</b>	<b>715</b>	<b>8,303</b>	<b>11,986</b>

\* Including police report reviews and completed protests not listed above

## Covert Underage Buyer Program

In an effort to curb the sale of liquor to underage persons, the Department utilizes the Covert Underage Buyer (CUB) program, which was instituted in May 2003. This program provides the resources necessary for investigating reported complaints of licensed businesses suspected of underage liquor law violations. When the Department has reasonable suspicion a licensed establishment is selling liquor to underage customers, a CUB will be sent in to attempt to purchase liquor. CUBs are between the ages of 16 and 19 and are carefully trained by Detectives. The Department also provides CUB program training to all Arizona law enforcement agencies.

Action	April 2021	FY 2021 Year to Date	FY 2020 Year End
Locations Investigated*	0	0	52
Number That Sold to CUB	0	0	10
Percentage of Establishments That Sold to CUB	0%	0%	19%
Administrative Citations Issued	0	0	25
Criminal Citations Issued	0	0	24

\* Due to the COVID-19 public health emergency

## Underage Violations

Statute and Violation	Number of Counts Charged in April 2021	Number of Counts Charged in FY 2021	Number of Counts Charged in FY 2020
<b>A.R.S. § 4-241(A)</b> Failure to request ID from underage; accepting unauthorized forms of ID	0	3	19
<b>A.R.S. § 4-241(K)</b> Accepting unauthorized IDs	0	0	0
<b>A.R.S. § 4-241(L)</b> Underage who uses false ID to buy alcohol	23	96	85
<b>A.R.S. § 4-241(M)</b> Underage who solicits another person for alcohol	0	0	0
<b>A.R.S. § 4-241(N)</b> Underage who uses of false ID to gain entry to a liquor business	0	0	16
<b>A.R.S. § 4-241(P)</b> Person purchasing alcohol for underage	1	1	4
<b>A.R.S. § 4-244(9)</b> Furnishing alcohol to an underage; underage in possession/consumption	27	126	218
<b>A.R.S. § 4-244(41)</b> Underage with alcohol in system	0	2	92
<b>A.R.S. § 13-3613</b> Contributing to delinquency and dependency of a child	0	0	2
<b>A.R.S. § 28-3478.1</b> Unlawful use of driver license	5	25	71
<b>A.R.S. § 28-3478.2</b> Lend ID to another person	0	0	0
<b>A.R.S. § 28-3478.3</b> Using another person's driver license	0	0	8
<b>Total Violations</b>	<b>56</b>	<b>253</b>	<b>517</b>

Ages of Underaged Cited This Month	<15	15	16	17	18	19	20
	0	0	0	0	9	12	7

## Law Enforcement Special Detail

- Governor’s Office of Youth, Faith and Families (GOYFF) youth alcohol detail on 4/2, 4/8, 4/9, 4/16, 4/23 – Detective Allard
- Alcohol Awareness training for ASU-Yadah Coalition in Kingman (12 teens) – Detective Webb
- Alcohol Awareness training (3 classes) for Miami High School (65 teens) – Detective Webb
- Fake ID class for Maricopa County Sheriff’s Office Academy (38 recruits) – Detective Webb
- Title 4 training for Arizona Youth Partnership (AZYP) Coalition (7 members) – Detective Webb

## Compliance Statistics

Action	April 2021	FY 2021 Year to Date	FY 2020 Year End
Revocations	1	3	1
Suspensions	0	25	8
Surrenders	0	5	35
Divestitures	0	4	2
Administrative Complaints	1	24	3
Decisions and Orders	0	2	2
Cases Received	4	228	453
Cases Completed	1	38	57
Cases in Progress	3	190	407
Warning Letter Issued	0	26	45
Cases Sent to the Office of Administrative Hearings	0	13	4
Fines Collected	\$45,750	\$386,975	\$472,800
Cases Adjudicated	30	113	262
<b>Action Resulting From Underage Violations</b>			
Fines Collected	\$0	\$10,750	\$34,500

END OF APRIL 2021 REPORT TO THE GOVERNOR