



LICENSE APPLICATION INSTRUCTIONS AND REQUIREMENTS

A.R.S. §§ 4-201, 4-202

Arizona Department of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007

(602) 542-5141

DEPARTMENT OF LIQUOR WILL NOT ACCEPT AND PROCESS INCOMPLETE APPLICATIONS.
PLEASE BE ADVISED THAT AN APPLICATION DOES NOT GUARANTEE THE ISSUANCE OF A LIQUOR LICENSE.

CITY, TOWN OR COUNTY MAY REQUIRE ADDITIONAL LICENSES OR PERMITS FOR YOUR BUSINESS.
PLEASE VERIFY LOCAL REQUIREMENTS PRIOR TO FILING AN APPLICATION WITH THE STATE.

Please have the Agent available for contact during the application process.
The average time for processing the application is 75 to 105 days. Expect processing delays if:

- **Public and/or Department Protest.**
- **Local Governing Body recommends Disapproval.**

APPLICATION REQUIREMENTS

Required Forms and Check List:

- **Completed Application for Liquor License** (complete in black ink) Choose correct application based on the Type of License you are applying for and the Type of Ownership you have.
- **Questionnaire** The Questionnaire should be completed entirely. Attach a signed statement for all questions answered YES on page 2 of the Questionnaire. Please note: substantive changes to the Questionnaire will not be accepted after the Application for Liquor License has been accepted.
- **Arizona Statement of Citizenship or Alien Status for State Public Benefits** (this is to be completed by the Agent or Individual Owner only).
- **Fingerprint Card** (for person(s) being disclosed with a Questionnaire) There is a \$22.00 fee for each card submitted (one per person). Fingerprint card must be received in an envelope sealed and initialed by the person providing the fingerprint service.
- **Records Required for Audit Form** (for Restaurant Series 12 and Hotel/Motel Series 11 Liquor Licenses only).
- **Hotel/Motel Applicants** that manage/operate the property for the hotel/motel entity must submit with the liquor license application the contract to manage/operate the hotel/motel. If the contract discontinues for any reason, the applicant must notify the Department of Liquor within 30-days after the contract ends.
- **A valid Certificate of Completion for the Basic /Management Title 4 (Arizona liquor law) Training** (issued within the past three (3) years) The Agent or Owner actively involved in the day-to-day operations of the business and all Managers that are disclosed to the Department are required to submit a valid Certificate of Completion for the Basic /Management Title 4 (Arizona liquor law) Training. This should be submitted within 60 days from the time the Application for Liquor License was accepted by the Department of Liquor, except when requesting an interim permit, which requires the training to be submitted with the application.

ISSUANCE OF LIQUOR LICENSE

Before the Issuance of Liquor License is granted, the following must be completed, received and approved:

- Local Governing Body (city, town, county) recommendation for approval. The Department of Liquor must wait fifteen (15) days from the date of the recommendation before reviewing the Application for Issuance. A.R.S §4-201 (E).
- Background clearance
- Site Inspection (for Restaurant Series 12 and Hotel/Motel Series 11 Liquor Licenses only)
- Copy of a valid Certificate of Completion for the Basic/Management Title 4 (Arizona liquor law) Training, if not received at the time of application.

Final issuance fees are required at the time of issuance (see fee chart).

INTERIM PERMIT

If you are applying for an Interim Permit, there must be a current (not expired) same series type of Liquor License at the business location. Complete the Interim Permit Section on the Application for a Liquor License. This section needs to be signed by either the Agent or Owner of the current Liquor License and notarized. Attach the current (not expired) Liquor License of the current business (current License at location) to the Application for a Liquor License. Interim Permit fee is \$100.00.

PERSON-TO-PERSON TRANSFER

This only applies to Bar (Series 6), Beer and Wine Bar (Series7) and Liquor Store (Series 9) Liquor Licenses. Complete person to person Section of the Application for Liquor License. (This section is to be completed, signed and notarized by the Owner(s) as noted on the original Liquor License.) Person-to- Person Transfer Application fee is \$100.00.

LOCATION TRANSFER

This only applies to Bar (Series 6), Beer and Wine Bar (Series7) and Liquor Store (Series 9) Liquor Licenses. Attach a copy of the Bill of Sale of ownership. Attach a copy of the Liquor License purchased. Location Transfer Application fee is \$100.00.

ARIZONA STATE LIQUOR STATUTES AND/OR RULES

It is required that all applicants be familiar with current Title 4 (Arizona liquor law) and Arizona Administrative Code Title 19 (Arizona liquor rules).

AGENT

A person who is designated by the Applicant or Licensee to receive communications from the Department and to sign and sign documents for filing with the Department on behalf of the Applicant or Licensee. The Agent is required to be a U.S. Citizen and bonafide resident of Arizona.

MANAGER

The Manager is required to be a U.S. Citizen and bonafide resident of Arizona. If the Manager is born outside of the United States, proof of citizenship must be attached to the Questionnaire. All managers actively involved in the day-to- day operations of the business are required to complete and submit a Questionnaire, Fingerprint Card and copy of Certificate of Completion for both Basic and Management Title 4 Training (issued within the past three (3) years). Manager's Questionnaire must be signed by an Owner or Agent listed at the bottom of page 2 of the questionnaire.

TYPE OF OWNERSHIPS AND REQUIRED DOCUMENTS TO SUBMIT:

INDIVIDUAL

The person applying must be a U.S. Citizen or Legal Resident Alien and a bona fide Arizona Resident. The forms required are Questionnaire, Arizona Statement of Citizenship, or Alien Status form, front and back copy of document showing proof of citizenship (current signed U.S. Passport, Birth Certificate or current Arizona Driver's License), and Fingerprint Card.

CORPORATION

A Corporation must be qualified to Do Business in Arizona and be in Good Standing with the Arizona Corporation Commission. At least three Officers and all Stockholders owning 10% or more of the Corporation must be disclosed. Each person disclosed is required to submit a completed Questionnaire and Fingerprint Card.

PARTNERSHIP

All General Partners are required to be a U.S. Citizen or Legal Resident Alien and a bona fide Arizona Resident. Limited Partners are NOT required to be a U.S. Citizen or Legal Resident Alien and a bona fide Arizona Resident. Each Partner is required to submit a completed Questionnaire and Fingerprint Card. Partnerships can consist of Individuals, Corporations, Trusts or Limited Liability Companies (LLC).

- If a Corporation is a General Partner, it must meet the requirements for a General Partner by filing with the Arizona Corporation Commission in order to do business in Arizona. It must disclose the Officers and any Stockholders owning 10% or more of the Corporation. Each person disclosed is required to submit a completed Questionnaire and Fingerprint Card.
- If an LLC is a General Partner, it must meet the requirements for a General Partner by filing with the Arizona Corporation Commission in order to do business in Arizona. It must disclose all Managing Members regardless of percentage (%) owned, and Members owning 10% or more of the LLC. Each person disclosed is required to submit a completed Questionnaire and Fingerprint Card.
- If a Trust is a Partner, the Trustee of the Trust is required to submit a completed Questionnaire and Fingerprint Card.

LIMITED LIABILITY COMPANY

A Limited Liability Company (LLC) must be qualified to do business in Arizona and be in good standing with the Arizona Corporation Commission. All managing members regardless of percentage owned, and Members owning 10% or more must be disclosed. Each person disclosed is required to submit a completed Questionnaire and Fingerprint Card.

JOINT TENANT WITH RIGHTS OF SURVIVORSHIP (J.T.W.R.O.S.)

Joint Tenant with Rights of Survivorship means that a number of applicants can own 100% equal shares. Qualifications are the same as for Individual ownership. All applicants are required to submit a completed Questionnaire and Fingerprint Card. Must be must be a U.S. Citizen or Legal Resident Alien and a bona fide Arizona Resident.

TRUST

A Trustee is appointed to represent the Trust. If there are multiple Trustees, at least one Trustee is required to submit a completed Questionnaire and Fingerprint Card. Trustees must be 21 years of age. The Trustee must be a U.S. Citizen or Legal Resident Alien and a bona fide Arizona Resident.

CLUB

Private Clubs (American Legion, VFW, Elks, etc.) are required to submit a copy of the Club Charter, and a completed Questionnaire and Fingerprint Card from four (4) members (officer). Qualifications are the same as a Corporation.

TRIBE

Indian Tribes are required to submit a completed Questionnaire and Fingerprint Card from at least three (3) officers.

GOVERNMENT

Government licenses are for Cities and County organizations. Officers are **not** required to submit a Questionnaire and Fingerprint Card. The Agent and/or designee on the Liquor License is required to submit a completed Questionnaire and Fingerprint Card. (see A.R.S. §4- 205.03).

ADDITIONAL INSTRUCTIONS:

PROBATE/WILL ASSIGNMENT/DIVORCE DECREE

Assignees are required to submit a completed Questionnaire and Fingerprint Card. Attach a certified copy of the Will, Probate distribution instrument, Death Certificate, or Divorce Decree that specifically distributes the Liquor License to the Assignee on the Application.

HOW TO MEASURE THE DISTANCE TO THE NEAREST SCHOOL:

The licensed location may not be within 300 feet of a school, with exception to Liquor License Series:

- Restaurant (12),
- Special Event (15),
- Hotel/Motel with restaurant (11),
- Government (5), and
- The fenced playing area of golf course (various).

300 feet is measured using a straight line from:

- a) the closest structural wall of a school and the closest structural wall of the liquor-licensed business; OR
- b) the closest structural wall of liquor-licensed business to the closest boundary of outdoor recreational area; AND
- c) parking lots are not considered part of the liquor-licensed property nor part of the school property.

FINGERPRINTS

Fingerprints can be taken by any law enforcement agency or bona fide private fingerprinting service. The Department of Liquor and FBI-approved fingerprint (blue lined) cards are accepted. A photo ID will be required for fingerprint services. Fingerprints must be received by the Department of Liquor in an envelope sealed and signed by the person performing the fingerprint service.

FINGERPRINT NOTIFICATION AND DISCLOSURE: Your fingerprints will be used to check the criminal history records of the FBI. If you have a criminal history record, the officials making a determination of your suitability for the liquor license must provide you the opportunity to complete or challenge the accuracy of the information in the record. You should be afforded a reasonable amount of time to correct or complete the record before officials deny you the Liquor License based on information in the criminal history record. The procedures for obtaining a change, correction, or updating of your FBI criminal history record are set forth in Title 28, Code of Federal Regulations (CFR), Section 16.30 through 16.34. Information on how to review and challenge your FBI criminal history record can be found at www.fbi.gov under Criminal History Summary Checks or by calling (304) 625-3878. To obtain a copy of your Arizona criminal history in order to review/update/correct the record, you can contact the Arizona Department of Public Safety Criminal History Records Unit at (602) 223-2222 to obtain a fingerprint card and a Review and Challenge packet. Information on the review and challenge process can be found on the DPS website (www.dps.gov).