



STATEMENT OF LEGAL OR
EQUITABLE INTEREST
Type or Print with Black Ink

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

DLIC USE ONLY
Job #:
Date Accepted:
CSR:

This document must be signed by both the licensee and the interest holder.
Filing this form with the License and Control does not create a lien

INTEREST HOLDER

Interest Holder's Name: Last First Middle

Mailing Address: Street City State Zip

Business Phone: Daytime Contact Number:

Email Address:

The licensee, or prospective licensee, hereby grants to the above named interest holder, a legal and/or equitable
interest in the designated spirituous liquor license, pursuant to and in accordance with
(Document that generated this interest)
hereinafter called Collateral Agreement, between the licensee and the interest holder dated
and which Collateral is incorporated herein by reference. An executed copy of the Collateral Agreement will be
located and available for inspection and reproduction at:
(Business name and address where collateral agreement is located)

This statement is filed in accordance with A.R.S. §4-112.B(3) and A.A.C. R19-1-407. The statement of legal or equitable interest
shall allow the person filing said statement to participate in the proceedings and shall not in any manner bind the Director or
the State Liquor Board concerning the matter under consideration. The parties acknowledge and agree that all notices will
be made with the parties at the addresses shown herein above, unless same are changed in writing and delivered to the
Department of Liquor Licenses and Control.

I, Print Name, have read this document and the contents and all statements
are true, correct and complete.

Sign in front of Notary:

Notary section containing fields for State of, County of, Signed before me on this day of, 20, Notary Signature, My commission expires on, and a Notary Seal box.

LICENSEE DATA:

License Number: _____

Licensee Name: _____
Last First Middle

Owner Name: _____
(Corporation, LLC, Partnership, etc.)

Mailing Address: _____
Street City State Zip

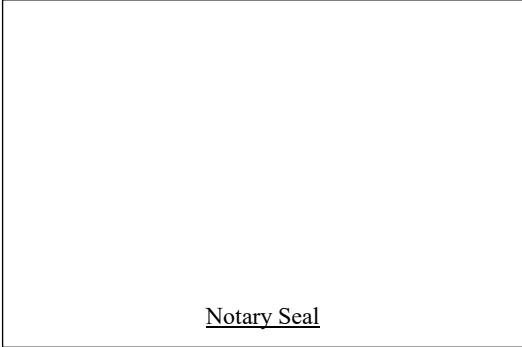
Location Address: _____

Business Phone: _____ Daytime Contact Number: _____

Email Address: _____

I _____, have read this document and the contents and all statements
Print Name are true, correct and complete.

Sign in front of Notary: _____

State of _____ County of _____ Signed before me on this ____ day of _____, 20____. Notary Signature _____ My commission expires on ____ / ____ / ____	
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R19-1-407. Notice of Legal or Equitable Interest

A. To enable the Department to fulfill its responsibility under A.R.S. § 4-112(B)(3), a person that has a legal or equitable interest in a license issued under A.R.S. Title 4 and this Chapter shall file with the Department a statement of the interest. A person filing a statement of legal or equitable interest shall use a form that is available from the Department.

B. A person that has a legal or equitable interest in a license issued under A.R.S. Title 4 and this Chapter shall file with the Department an amended statement of the interest by complying with subsection (A) when:

1. Any of the information provided in a previous statement of interest changes; or
2. The person's legal or equitable interest terminates.

C. To enable the Department to fulfill its responsibility under A.R.S. § 4-112(B)(3), the Department shall periodically request that the holders of a legal or equitable interest in a license verify in writing to the Director that the statement on file with the Department is correct and accurate. If the holder of a legal or equitable interest in a license fails to respond within 30 days to the Department's request for verification of interest, the Department shall deem the interest terminated.

D. The Department shall provide notice to a person that files a statement of interest under subsection (A) when there is a disciplinary or compliance action or transfer affecting the license in which the person has an interest and shall allow the person to participate in any proceeding regarding the license.

E. This Section is authorized by A.R.S. § 4-112(B)(3).